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Laboratoire de Chimie et Physique Quantiques

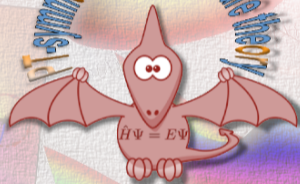
## Hierarchy Configuration Interaction and State-Specific Approaches for Excited States

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September 4th, 2022

Laboratoire de Chimie et Physique Quantiques, IRSAMC, UPS/CNRS, Toulouse

[https://pfloos.github.io/WEB\\_LOOS](https://pfloos.github.io/WEB_LOOS)

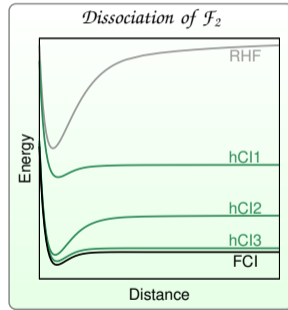


PTEROSOR has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (Grant agreement No. 863481).

## Hierarchy configuration interaction (hCI)

Excitation degree  $e$   
Seniority number  $s$   
Hierarchy parameter  $h = \frac{e+s/2}{2}$

$e/s$	0	2	4	6
0	HF			
1		hCI1		
2		hCI1.5	hCI2	
3			hCI2.5	hCI3
4				
5				
6				



Fábri Kossoski

## How to “span” the Hilbert space: Excitation-based CI

e	
0	
1	
2	
3	

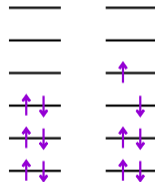
## How to “span” the Hilbert space: Excitation-based CI

e	
0	HF
1	
2	
3	



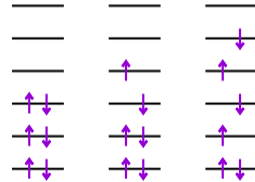
# How to “span” the Hilbert space: Excitation-based CI

e	
0	
1	<b>CIS</b>
2	
3	



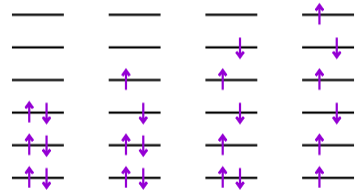
# How to “span” the Hilbert space: Excitation-based CI

e	
0	
1	
2	<b>CISD</b>
3	



# How to “span” the Hilbert space: Excitation-based CI

e	
0	
1	
2	
3	<b>CISDT</b>



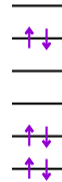
## How to “span” the Hilbert space: Seniority-based CI

s	0	2	4	6



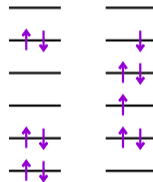
# How to “span” the Hilbert space: Seniority-based CI

s	0	2	4	6
	sCI0			



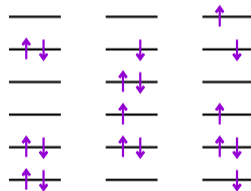
# How to “span” the Hilbert space: Seniority-based CI

s	0	2	4	6
		<b>sCI2</b>		



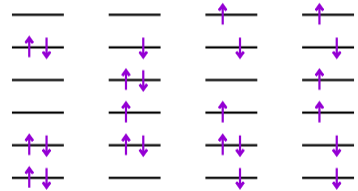
# How to “span” the Hilbert space: Seniority-based CI

s	0	2	4	6
			<b>sCI4</b>	



# How to “span” the Hilbert space: Seniority-based CI

s	0	2	4	6
				<b>sCI6</b>



e/s	0	2	4	6	8
0					
1					
2					
3					
4					
5					
6					

e/s	0	2	4	6	8
0	HF				
1					
2					
3					
4					
5					
6					

e/s	0	2	4	6	8
0					
1		<b>CIS</b>			
2					
3					
4					
5					
6					

e/s	0	2	4	6	8
0					
1					
2			<b>CISD</b>		
3					
4					
5					
6					



e/s	0	2	4	6	8
0					
1					
2					
3				<b>CISDT</b>	
4					
5					
6					

e/s	0	2	4	6	8
0					
1					
2					
3					
4					
5					
6					

e/s	0	2	4	6	8
0	sCI0				
1					
2					
3					
4					
5					
6					

e/s	0	2	4	6	8
0					
1		<b>sCI2</b>			
2					
3					
4					
5					
6					

e/s	0	2	4	6	8
0					
1					
2			<b>sCI4</b>		
3					
4					
5					
6					

e/s	0	2	4	6	8
0					
1					
2					
3				<b>sCI6</b>	
4					
5					
6					

## Hierarchy CI (hCI)

$$h = \frac{e + s/2}{2}$$

- ▶  $e$ : excitation degree
- ▶  $s$ : seniority number
- ▶  $h$ : hierarchy parameter

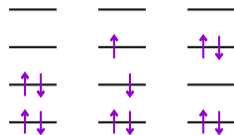
e/s	0	2	4	6	8
0					
1					
2					
3					
4					
5					
6					



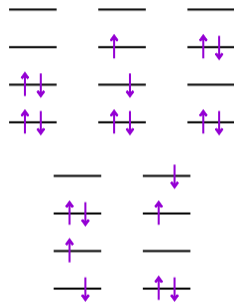
e/s	0	2	4	6	8
0	HF				
1					
2					
3					
4					
5					
6					



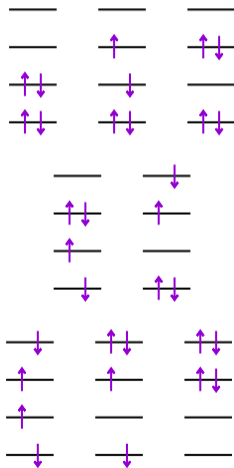
e/s	0	2	4	6	8
0					
1		<b>hCl1</b>			
2					
3					
4					
5					
6					



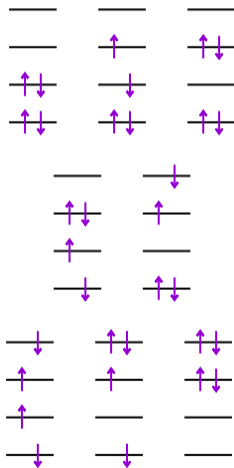
e/s	0	2	4	6	8
0					
1					
2		<b>hCI1.5</b>			
3					
4					
5					
6					



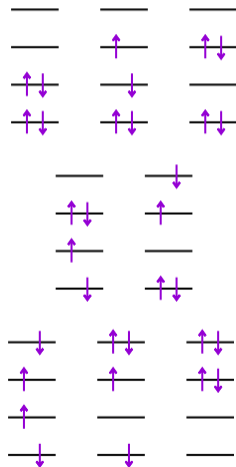
e/s	0	2	4	6	8
0					
1					
2			hCl2		
3					
4					
5					
6					



e/s	0	2	4	6	8
0					
1					
2					
3			<b>hCl2.5</b>		
4					
5					
6					



e/s	0	2	4	6	8
0					
1					
2					
3				<b>hCl3</b>	
4					
5					
6					



# Excitation-based CI vs Hierarchy CI vs Seniority-based CI

e/s	0	2	4	6
0	HF			
1		CIS		
2			CISD	
3				CISDT
4				
5				
6				

e/s	0	2	4	6
0	HF			
1		hCI1		
2		hCI1.5	hCI2	
3			hCI2.5	hCI3
4				
5				
6				

e/s	0	2	4	6
0				
1				
2				
3				
4				
5				
6	sCI0	sCI2	sCI4	sCI6

### Physical motivation

- ▶ Excitation-based CI quickly recovers dynamic correlation
- ▶ Seniority-based CI performs well for static correlation
- ▶ hCI aims at accounting for most of both

### Empirical motivation

Any well-defined truncation scheme is valid.  
Is hCI effective?

### Computational motivation

- ▶ Each hierarchy level accounts for all classes of determinants whose number share the same scaling with system size

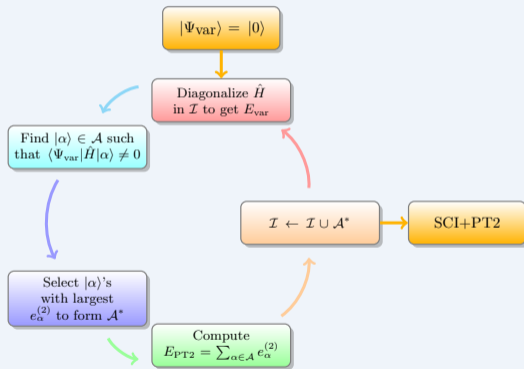
excitation-based CI	hCI	$N_{\text{det}}$
CIS	hCI1	$\mathcal{O}(N^2)$
-	hCI1.5	$\mathcal{O}(N^3)$
CISD	hCI2	$\mathcal{O}(N^4)$
-	hCI2.5	$\mathcal{O}(N^5)$
CISDT	hCI3	$\mathcal{O}(N^6)$

- ▶ hCI can be implemented in a **selected way** for additional performance

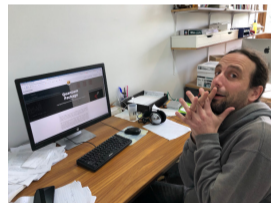
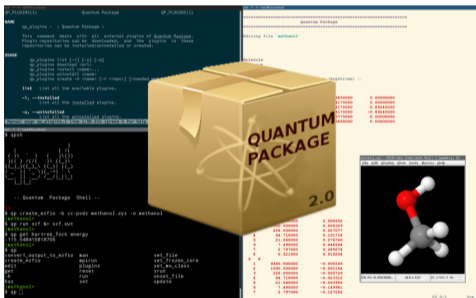


# Selected Configuration Interaction (SCI): “sparse” exploration of Hilbert spaces

CIPSI = CI using a Perturbative Selection made Iteratively

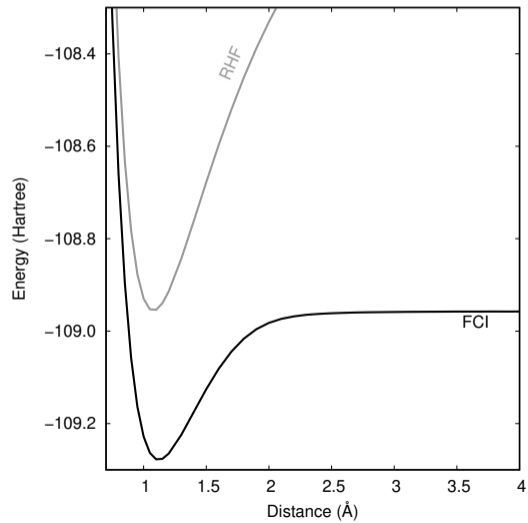


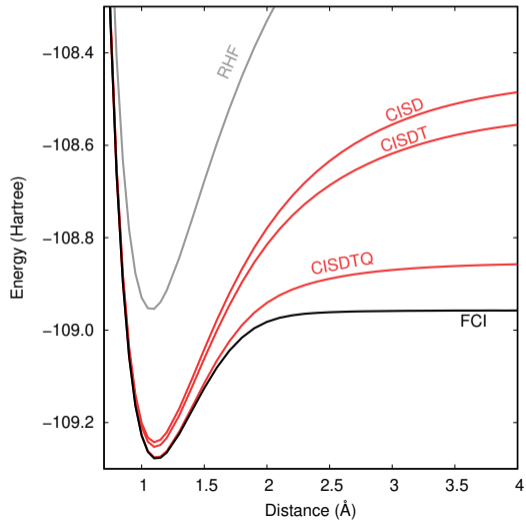
*“SCI+PT2 methods provide near full CI (FCI) quality quantities with only a small fraction of the determinants of the FCI space”*

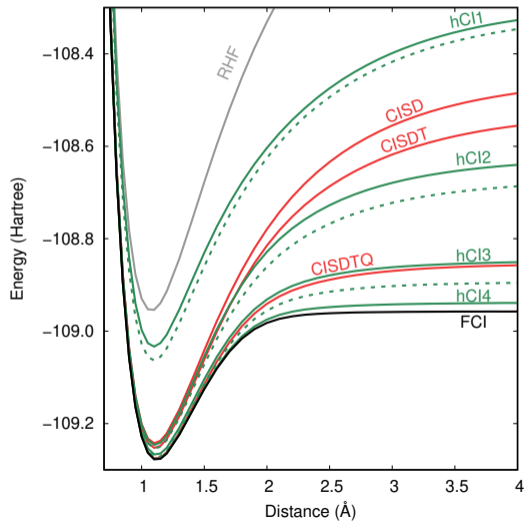


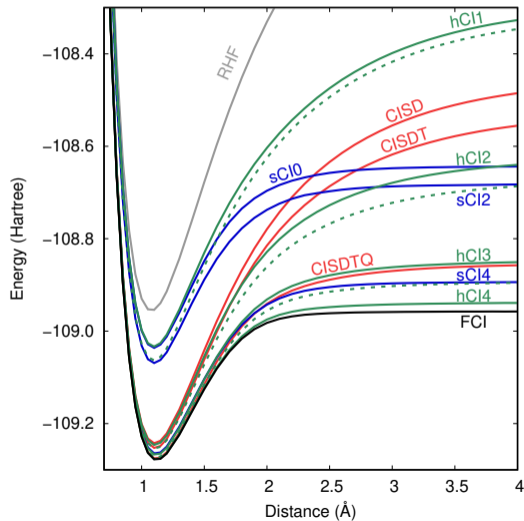
Anthony Scemama

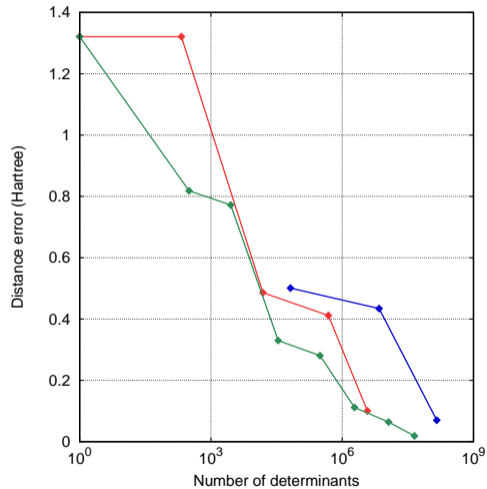
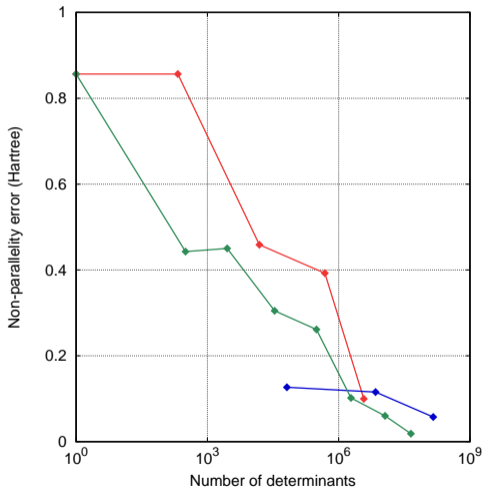
*“Quantum Package 2.0: An Open-Source Determinant-Driven Suite of Programs”,  
Garniron et al., JCTC 15 (2019) 3591*

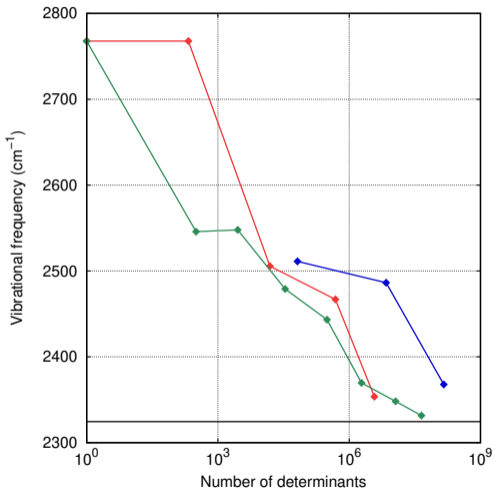
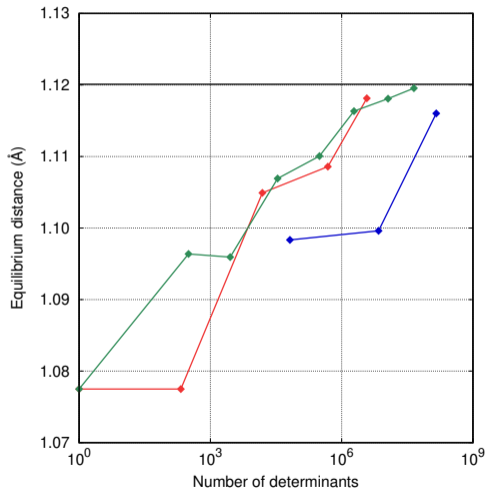






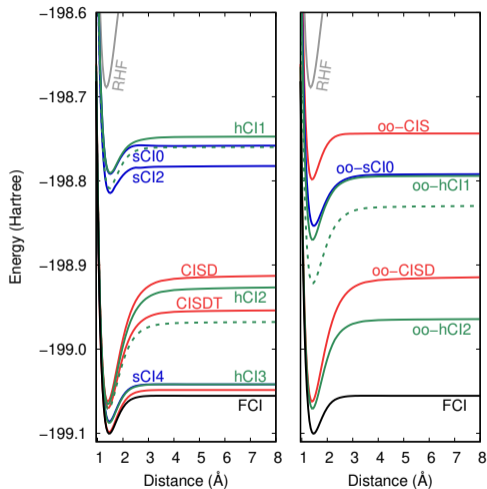




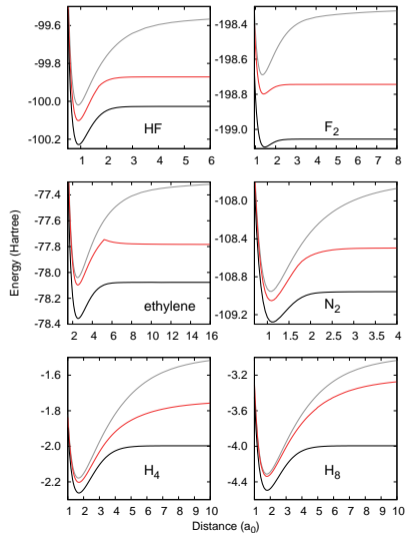




## Orbital optimized CI (oo-CI): $F_2/cc\text{-pVDZ}$



Yann Damour



## State-averaged vs State-specific excited-state calculations

### State-averaged CI

- ▶ A **common** set of orbitals and determinants are used to construct the ground- and excited-state wave functions
- ▶ A **single** calculation is required with suitable weights on the different states
- ▶ You may or may not further optimize the orbitals
- ▶ It's a half empty, half full strategy

### State-specific CI

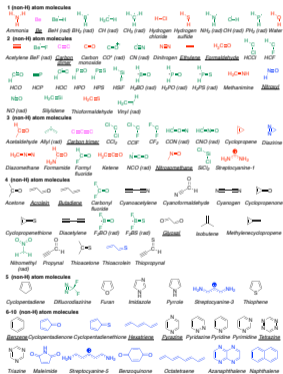
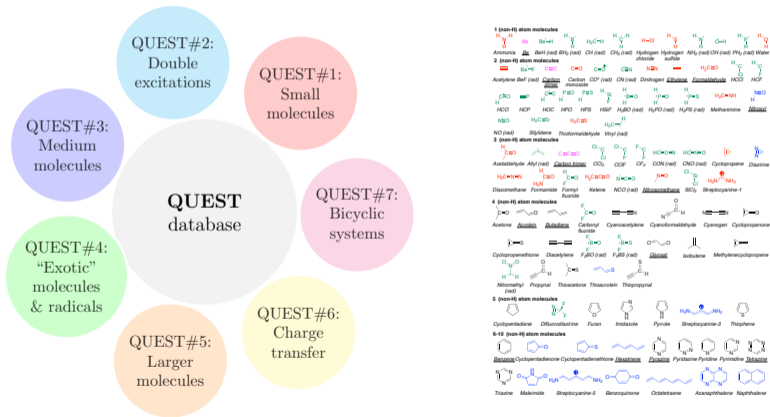
- ▶ A **different** set of orbitals and determinants are used to construct the ground- and excited-state wave functions
- ▶ **Several** calculations are required, one for each state
- ▶ One must find a suitable set of orbitals for the excited states (which might not be easy)
- ▶ Further optimizing orbitals for a given **specific** state is **hard**

Kossoski et al. JCTC 17 (2021) 4756

Marie et al. JCP 155 (2021) 104105

# Highly-accurate excitation energies: The QUEST project

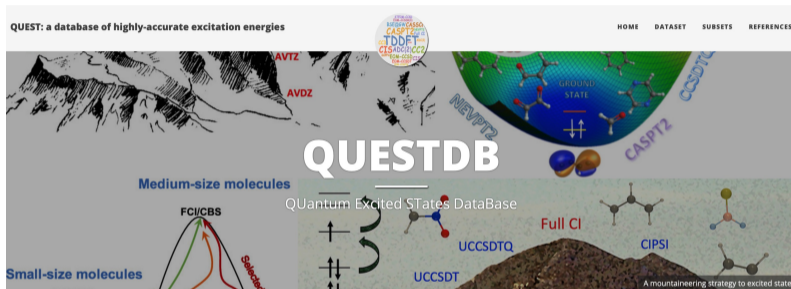
“The aim of the QUEST project is to provide to the community a large set of highly-accurate excitation energies for various types of excited states”



Denis Jacquemin

QUEST: a database of highly-accurate excitation energies

HOME DATASET SUBSETS REFERENCES



The banner features a central 'QUESTDB' logo with 'QUAntum Excited States DataBase' below it. To the left, a mountain sketch is labeled 'AVTZ' and 'AVDZ'. Below that, a graph shows 'FCI/CBS' curves for 'Small-size molecules' and 'Medium-size molecules', with a 'Selected' state indicated. To the right, a molecular model is labeled 'GROUND STATE' with 'NEVPT2' and 'CASPT2' labels. Below the model, 'Full CI' is written, with 'UCCSDTQ' and 'CIPSI' labels. At the bottom right, a mountain peak is labeled 'A mountaineering strategy to excited states'.

QUESTDB

QUAntum Excited States DataBase

FCI/CBS

Small-size molecules

Medium-size molecules

Selected

AVTZ

AVDZ

NEVPT2

CASPT2

GROUND STATE

Full CI

UCCSDTQ

CIPSI

UCCSDT

A mountaineering strategy to excited states



Mika Vénil

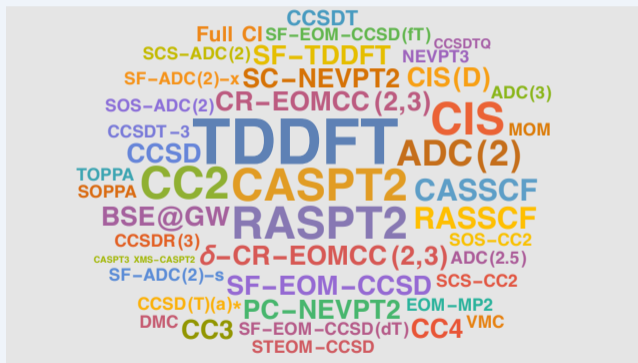
Vénil et al. WIREs Comput. Mol. Sci. 11 (2021) e1517

[https://lcpq.github.io/QUESTDB\\_website](https://lcpq.github.io/QUESTDB_website)

Zoo of functionals...



And this is just for excited states...



## Other research groups using QUEST

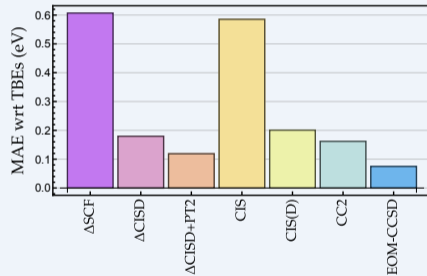
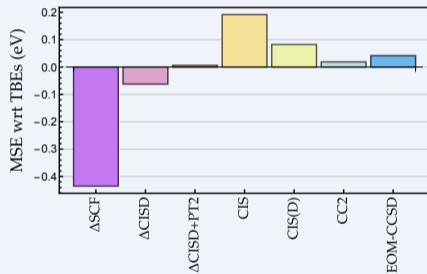
- ▶ Head-Gordon's group: orbital-optimized DFT for double excitations [JCTC 16 (2020) 1699; JPCL 12 (2021) 4517] and TD-DFT benchmark [JCTC (in press)]
- ▶ Kaupp's group: assessment of hybrid functionals [JCP 155 (2021) 124108]
- ▶ Kallay's and Goerigk's groups: double hybrids [JCTC 15 (2019) 4735; JCTC 17 (2021) 927; JCTC 17 (2021) 5165; JCTC 17 (2021) 4211]
- ▶ Truhlar/Gagliardi's group: p-DFT [10.26434/chemrxiv-2022-9g7fd]
- ▶ Bartlett's group: Variants of EOM-CC for doubly-excited states [JCP 156 (2022) 201102]
- ▶ Neuscamman's group: QMC for doubly-excited states [JCP 153 (2022) 234105]
- ▶ Filippi/Scemama's groups: QMC for excited states [JCTC 15 (2019) 4889; JCTC 17 (2021) 3426; JCTC 18 (2022) 1089]
- ▶ Gould's group: ensemble DFT [JPCL 13 (2022) 2452]
- ▶ our group: wave function methods [JPCL 11 (2020) 974; JCTC 17 (2021) 4756; JCTC 18 (2022) 2418; JCP 157 (2022) 014103] and many-body perturbation theory [JCP 153 (2020) 114120; JCP 156 (2022) 164101]



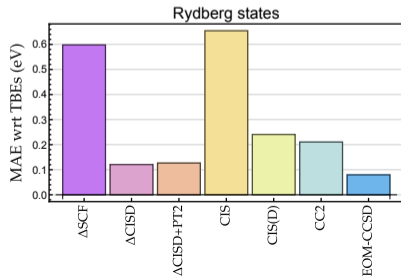
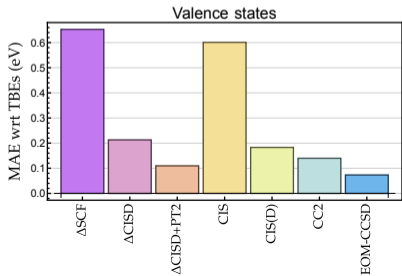
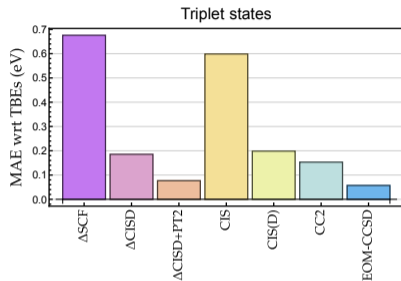
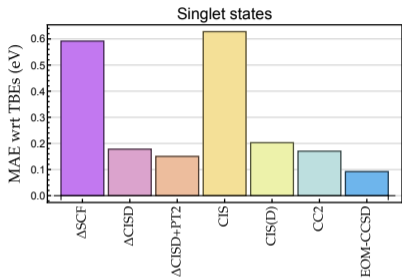
## Our state-specific CI algorithm

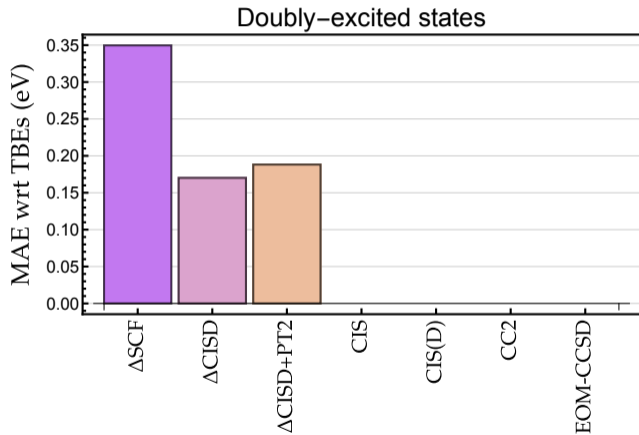
- 1 Determine the minimal set of orbitals involved in each state
- 2 Optimize this set of orbitals via energy minimization
- 3 Add (in a selected way) singles and doubles linked to this set  $\Rightarrow \Delta\text{CISD}$
- 4 Add second-order Epstein-Nesbet perturbative correction  $\Rightarrow \Delta\text{CISD+PT2}$

Based on more than 250 excited states of the QUEST database

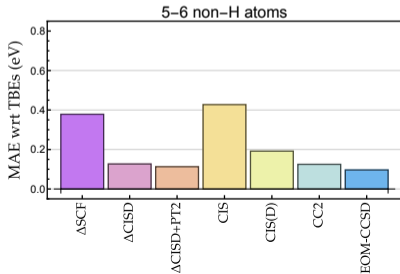
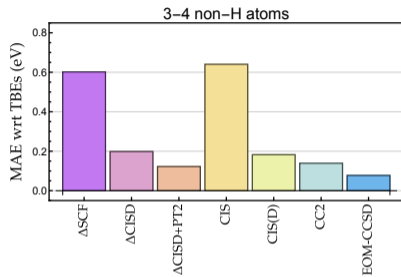
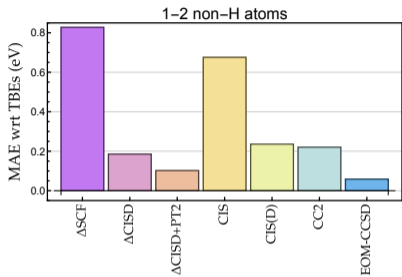


## MAEs for various types of excitations





# MAEs wrt system size



### QUEST team

- ▶ Mika Vénil
- ▶ Martial Boggio-Pasqua
- ▶ Denis Jacquemin

### QUANTUM PACKAGE team

- ▶ Anthony Scemama
- ▶ Yann Garniron
- ▶ Emmanuel Giner
- ▶ Michel Caffarel

[https://pfloos.github.io/WEB\\_LOOS](https://pfloos.github.io/WEB_LOOS)

### PTEROSOR team

- ▶ Fabris Kossoski
- ▶ Yann Damour
- ▶ Raul Quintero
- ▶ Enzo Monino

<https://lcpq.github.io/PTEROSOR>



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