

Higher roots of the Schrödinger equation

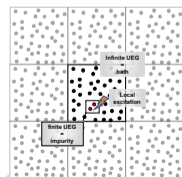
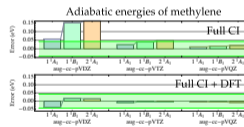
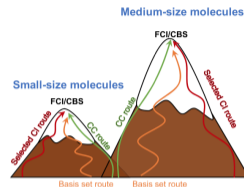
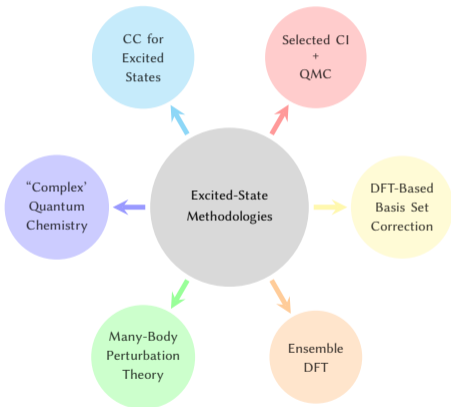
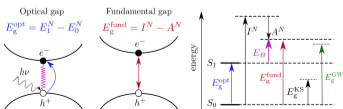
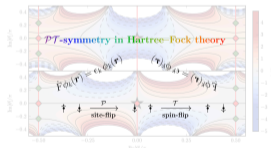
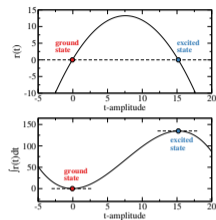
Pierre-François LOOS

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NHISS2021: Light-Matter Interaction: Theory meets Experiment



General overview of our research group



Selected CI or how to create new methods with new acronyms

“SCI+PT2 methods provide near full CI (FCI) quality energies with only a small fraction of the determinants of the FCI space”

- CIPSI (Malrieu, Evangelisti, Angeli, Spiegelman, Giner, Caffarel, Scemama, etc)
- Semistochastic Heat-bath CI (Sharma & Umrigar)
- Adaptive sampling CI (Evangelista & Tubman)
- Incremental CI (Zimmerman)
- Iterative CI (Liu & Hoffmann)
- FCIQMC (Alavi & Booth)
- ...

One selected CI (SCI) algorithm to rule them all

CIPSI = CI using a Perturbative Selection made Iteratively

- Developed in Toulouse many (many) years ago
Huron, Malrieu & Rancurel, JCP 58 (1973) 5745
- Based on old idea by Bender and Davidson, and Whitten and Hackmeyer
Bender & Davidson, Phys. Rev. 183 (1969) 23
Whitten & Hackmeyer, JCP 51 (1969) 5584
- CIPSI (and SCI methods in general) has been recently resurrected!
Giner, Scemama & Caffarel, CJC 91 (2013) 879
Giner, Scemama & Caffarel, JCP 142 (2015) 044115
- CIPSI \approx deterministic version of FCIQMC
Caffarel et al., Recent Progress in Quantum Monte Carlo (2016) Chap. 2, 15-46.

Selected CI methods

“SCI+PT2 methods provide near full CI (FCI) quality energies with only a small fraction of the determinants of the FCI space”

```
Quantum Package 2.0
Quantum Package Shell --
qpkg
set_file
set_frozen_core
set_plugin
set_sron
set_update_file
set_update
```



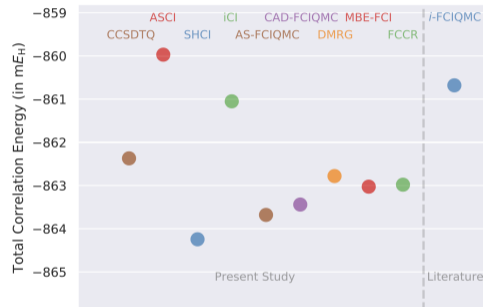
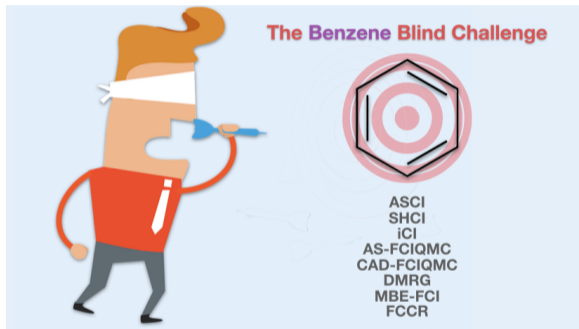
Anthony Scemama



Michel Caffarel

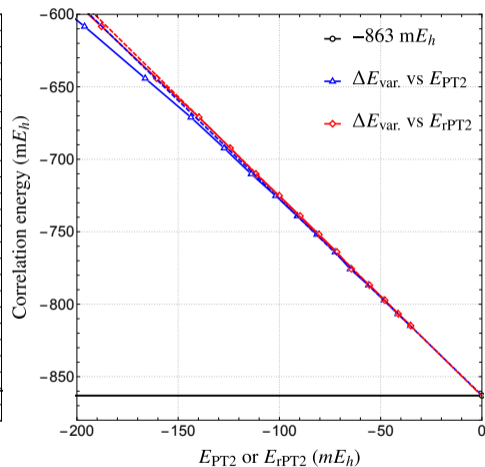
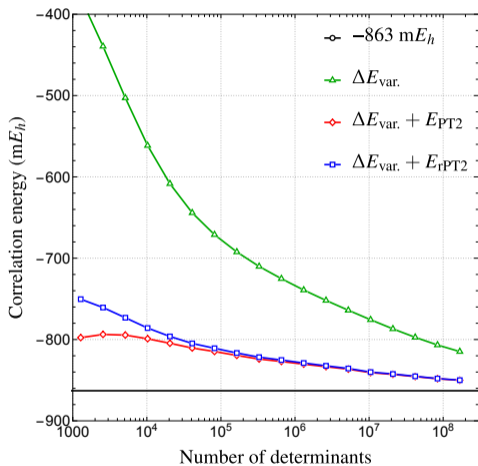
*“Quantum Package 2.0: An Open-Source Determinant-Driven Suite of Programs”,
Garniron et al., JCTC 15 (2019) 3591*

The Benzene Blind Challenge: Frozen-core correlation energy (cc-pVDZ)



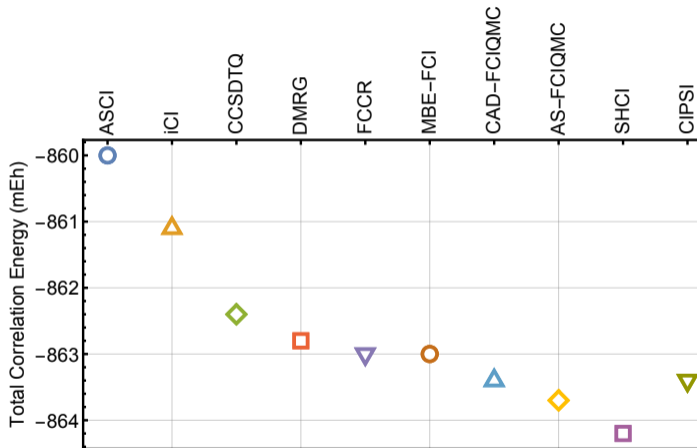
Eriksen et al. JPCL 11 (2020) 8922

Performance of CIPSI for $C_6H_6/cc\text{-pVDZ}$ (1)



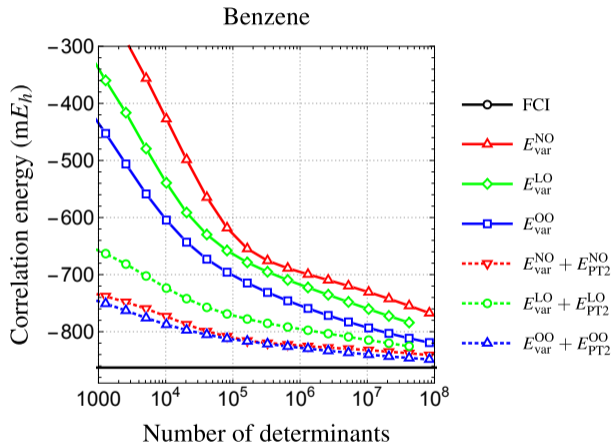
Loos, Damour & Scemama JCP 153 (2020) 176101

Performance of CIPSI for $C_6H_6/cc\text{-pVDZ}$ (2)



Loos, Damour & Scemama JCP 153 (2020) 176101

Orbital-optimized CIPSI for $C_6H_6/cc\text{-pVDZ}$ (and many others)



Yann Damour (PhD)

Damour et al. JCP 155 (2020) 176101

Toward a systematic improvement of the fixed-node approximation in diffusion Monte Carlo for solids—A case study in diamond

Cite as: J. Chem. Phys. **153**, 184111 (2020); <https://doi.org/10.1063/5.0021036>

Submitted: 06 July 2020 . Accepted: 12 October 2020 . Published Online: 11 November 2020

 Anouar Benali,  Kevin Gasperich,  Kenneth D. Jordan, Thomas Applencourt,  Ye Luo,  M. Chandler Bennett,  Jaron T. Krogel,  Luke Shulenburger,  Paul R. C. Kent,  Pierre-François Loos,  Anthony Scemama, and  Michel Caffarel

See also [Scemama et al. JCP 153 \(2021\) 174107](#) for a range-separated approach in molecules

Is Externally Corrected Coupled Cluster Always Better Than the Underlying Truncated Configuration Interaction?

Ilias Magoulas, Karthik Gururangan, Piotr Piecuch,* J. Emiliano Deustua, and Jun Shen



Cite This: *J. Chem. Theory Comput.* 2021, 17, 4006–4027



Read Online

High-level coupled-cluster energetics by merging moment expansions with selected configuration interaction

Cite as: *J. Chem. Phys.* 155, 174114 (2021); <https://doi.org/10.1063/5.0064400>

Submitted: 22 July 2021 • Accepted: 11 October 2021 • Accepted Manuscript Online: 11 October 2021 •
Published Online: 03 November 2021

Karthik Gururangan, J. Emiliano Deustua, Jun Shen, et al.

Highly-accurate excitation energies: The QUEST project (2)

QUEST: a database of highly-accurate excitation energies

HOME DATASET SUBSETS REFERENCES

QUESTDB

QUantum Excited States DataBase

Medium-size molecules

Small-size molecules

FCI/CBS

Selected

AVTZ

AVDZ

NEVPT2

CASSCF

TDDFT

CIS

CC2

CCSDT

CASPT2

GROUND STATE

EXCITED STATES

UCCSDT

UCCSDTQ

Full CI

CIPSI

A mountaineering strategy to excited states

Véril et al. WIREs Comput. Mol. Sci. 11 (2021) e1517

https://lcpq.github.io/QUESTDB_website/

Coupled-cluster theory for excited states



Fábris Kossoski
(Postdoc)



Antoine Marie
(Master student)



Anthony Scemama
(CNRS)



Michel Caffarel
(CNRS)

Coupled-cluster theory

- Coupled-cluster (CC) wave function

$$\Psi_{\text{CC}} = e^{\hat{T}} \Psi_0 \quad \text{where } \Psi_0 \text{ is a reference wave function} \quad (1)$$

- Excitation operator

$$\hat{T} = \hat{T}_1 + \hat{T}_2 + \dots + \hat{T}_n \quad \text{where } n \text{ is the number of electrons} \quad (2)$$

- Exponential *ansatz*

$$\begin{aligned} e^{\hat{T}} &= \hat{1} + \hat{T} + \frac{1}{2!} \hat{T}^2 + \frac{1}{3!} \hat{T}^3 + \dots \\ &= \hat{1} + \hat{T}_1 + \left(\underbrace{\hat{T}_2}_{\text{connected}} + \frac{1}{2} \underbrace{\hat{T}_1^2}_{\text{disconnected}} \right) + \left(\hat{T}_3 + \hat{T}_2 \hat{T}_1 + \frac{1}{6} \hat{T}_1^3 \right) \\ &\quad + \left(\hat{T}_4 + \hat{T}_3 \hat{T}_1 + \frac{1}{2} \underbrace{\hat{T}_2^2}_{\text{two pairs of electrons}} + \frac{1}{2} \hat{T}_2 \hat{T}_1^2 + \frac{1}{24} \underbrace{\hat{T}_1^4}_{\text{four electrons}} \right) + \dots \end{aligned} \quad (3)$$

Excitation operators

- Singles

$$\hat{T}_1 \Psi_0 = \sum_i \sum_a \underbrace{t_i^a}_{\text{amplitudes}} \Psi_i^a \quad (4)$$

- Doubles

$$\hat{T}_2 \Psi_0 = \sum_{i < j} \sum_{a < b} t_{ij}^{ab} \underbrace{\Psi_{ij}^{ab}}_{\text{excited determinants}} \quad (5)$$

- FCI wave function

$$\Psi_{\text{CI}} = (\hat{I} + \hat{T}) \Psi_0 = (\hat{I} + \hat{T}_1 + \hat{T}_2 + \dots + \hat{T}_n) \Psi_0 \quad (6)$$

CC energies

- Schrödinger equation

$$\hat{H}|\Psi_{CC}\rangle = E_{CC}|\Psi_{CC}\rangle \Rightarrow \hat{H}e^{\hat{T}}|\Psi_0\rangle = E_{CC}e^{\hat{T}}|\Psi_0\rangle \Rightarrow \underbrace{e^{-\hat{T}}\hat{H}e^{\hat{T}}}_{\bar{H} = \text{similarity transform}}|\Psi_0\rangle = E_{CC}|\Psi_0\rangle \quad (7)$$

- (Projected) Traditional CC energy (**polynomial complexity**)

$$E_{TCC} = \langle\Psi_0|\bar{H}|\Psi_0\rangle = \frac{\langle\Psi_0|e^{-\hat{T}}\hat{H}e^{\hat{T}}|\Psi_0\rangle}{\langle\Psi_0|e^{-\hat{T}}e^{\hat{T}}|\Psi_0\rangle} = \langle\Psi_0|e^{-\hat{T}}\hat{H}e^{\hat{T}}|\Psi_0\rangle \quad (8)$$

- Variational CC energy (**factorial complexity**)

$$E_{VCC} = \frac{\langle\Psi_{CC}|\hat{H}|\Psi_{CC}\rangle}{\langle\Psi_{CC}|\Psi_{CC}\rangle} = \frac{\langle\Psi_0|e^{\hat{T}^\dagger}\hat{H}e^{\hat{T}}|\Psi_0\rangle}{\langle\Psi_0|e^{\hat{T}^\dagger}e^{\hat{T}}|\Psi_0\rangle} \geq E_{FCI} \quad (9)$$

Van Voorhis & Head-Gordon, JCP 113 (2000) 8873

Amplitude equations for TCC

- Amplitude equations for **single amplitudes**

$$\underbrace{r_i^a}_{\text{residual}} = \langle \Psi_i^a | \bar{H} | \Psi_0 \rangle = 0 \quad \Rightarrow \quad t_i^a \quad (10)$$

- Amplitude equations for **double amplitudes**

$$r_{ij}^{ab} = \langle \Psi_{ij}^{ab} | \bar{H} | \Psi_0 \rangle = 0 \quad \Rightarrow \quad t_{ij}^{ab} \quad (11)$$

- Amplitude equations for **k-tuple amplitudes**

$$r_{ij\dots}^{ab\dots} = \langle \Psi_{ij\dots}^{ab\dots} | \bar{H} | \Psi_0 \rangle = 0 \quad \Rightarrow \quad t_{ij\dots}^{ab\dots} \quad (12)$$

Traditional pair CCD (TpCCD)

- We set $\hat{T} = \hat{T}_2$ (CCD) and we restrict the excitation manifold to electron pairs (p)

$$\hat{T} |\Psi_0\rangle = \sum_{ia} t_{ii}^{a\bar{a}} |\Psi_{ii}^{a\bar{a}}\rangle = \sum_{ia} t_i^a |\Psi_{ii}^{a\bar{a}}\rangle \quad (13)$$

- TpCCD energy

$$E_{\text{TpCCD}} = \langle \Psi_0 | \hat{H} | \Psi_0 \rangle + \sum_{ia} t_i^a \langle ii | aa \rangle \quad (14)$$

- TpCCD residual

$$\begin{aligned} r_i^a = & \langle ii | aa \rangle + 2(f_a^a - f_i^i) t_i^a - 2 \sum_j \langle jj | aa \rangle t_j^a t_i^a - 2 \sum_b \langle ii | bb \rangle t_i^b t_j^a \\ & - 2(2 \langle ia | ia \rangle - \langle ia | ai \rangle) t_i^a + 2 \langle ii | aa \rangle t_i^a t_i^a \\ & + \sum_b \langle aa | bb \rangle t_i^b + \sum_j \langle ii | jj \rangle t_j^a + \sum_{jb} \langle jj | bb \rangle t_j^a t_i^b \end{aligned} \quad (15)$$

- Update amplitudes

$$t_i^a \leftarrow t_i^a - \frac{r_i^a}{2f_a^a - 2f_i^i} \quad \text{quasi-Newton algorithm} \quad (16)$$

TpCCD vs DOCI: Ground state with HF reference [Henderson et al. JCP 141 (2014) 244104]

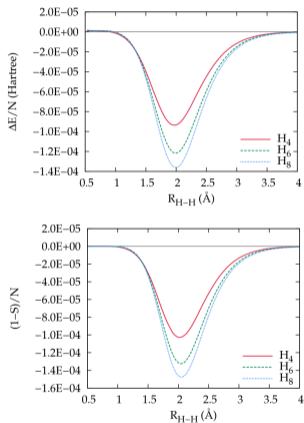


FIG. 3. Dissociation of equally spaced hydrogen chains. Top panel: Differences between DOCI and pCCD energies (ΔE , defined in Eq. (27)) per electron pair. Bottom panel: Deviations in the overlap ($1 - S$, with S defined in Eq. (28)) per electron pair.

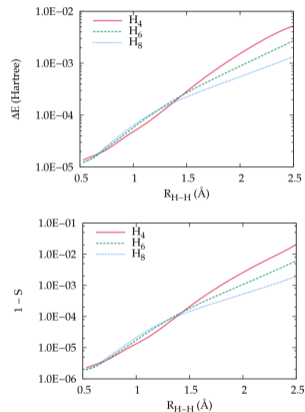


FIG. 4. Dissociation of equally spaced hydrogen chains in the canonical RHF basis rather than the pCCD-optimized basis used elsewhere. Top panel: Differences between DOCI and pCCD energies (ΔE , defined in Eq. (27)). Bottom panel: Deviations in the overlap ($1 - S$, with S defined in Eq. (28)).

Does the similarity between TpCCD and DOCI still hold for excited states?

Equation-of-motion (EOM) formalism

$$\bar{H} = \begin{pmatrix} E_{CC} & \langle \Psi_0 | \bar{H} | \Psi_i^a \rangle & \langle \Psi_0 | \bar{H} | \Psi_{ij}^{ab} \rangle \\ \mathbf{0} & \langle \Psi_i^a | \bar{H} | \Psi_i^a \rangle & \langle \Psi_i^a | \bar{H} | \Psi_{ij}^{ab} \rangle \\ \mathbf{0} & \langle \Psi_{ij}^{ab} | \bar{H} | \Psi_i^a \rangle & \langle \Psi_{ij}^{ab} | \bar{H} | \Psi_{ij}^{ab} \rangle \end{pmatrix}$$

Krylov, *Annu Rev Phys* 59 (2008) 433

“Ground state” formalism

There is more than one solution!!

$$r_i^a = \langle \Psi_i^a | \bar{H} | \Psi_0 \rangle = 0$$

$$r_{ij}^{ab} = \langle \Psi_{ij}^{ab} | \bar{H} | \Psi_0 \rangle = 0$$

Piecuch & Kowalski, in *Computational Chemistry: Reviews of Current Trends*, Vol. 5 (2000) 1

Example: TpCCD for He/6-31G with $h = \text{HOMO}$ and $l = \text{LUMO}$

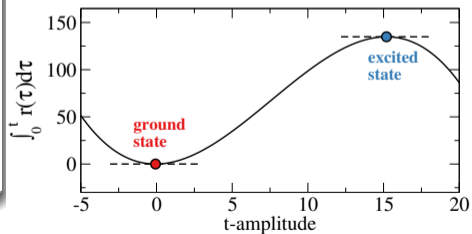
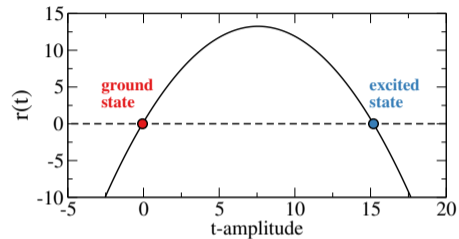
We're looking for the roots of $r(t)$...

Residual and curvature

$$r(t) = \langle hh|ll \rangle + \left(2f_l^l - 2f_h^h - 4 \langle hl|hl \rangle + 2 \langle lh|hl \rangle + \langle ll|ll \rangle + \langle hh|hh \rangle \right) t \quad (17)$$

$$- \langle ll|hh \rangle t^2$$

$$r'(t) = 2f_l^l - 2f_h^h - 4 \langle hl|hl \rangle + 2 \langle lh|hl \rangle + \langle ll|ll \rangle + \langle hh|hh \rangle - 2 \langle ll|hh \rangle t \quad (18)$$



Newton-Raphson algorithm to target excited states

$$t_i^a \leftarrow t_i^a - \sum_{jb} (J^{-1})_{ia,jb} r_j^b \quad \text{Newton-Raphson algorithm} \quad (19)$$

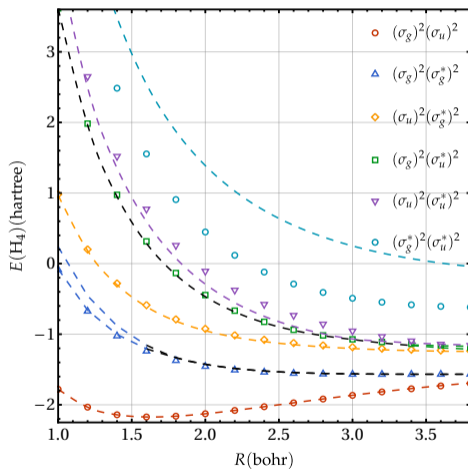
Elements of the exact Jacobian matrix for TpCCD

$$\begin{aligned} J_{ia,jb} = \frac{\partial r_i^a}{\partial t_j^b} = & \left[2f_a^a - 2f_i^i - 4 \langle ia|ia \rangle + 2 \langle ia|ai \rangle \right] \delta_{ij} \delta_{ab} \\ & + \left[\langle aa|bb \rangle - \langle jj|aa \rangle t_i^a + (1 - 2\delta_{ab}) \sum_{k \neq i} \langle kk|bb \rangle t_k^a \right] \delta_{ij} \\ & + \left[\langle ii|jj \rangle - \langle ii|bb \rangle t_i^a + (1 - 2\delta_{ij}) \sum_{c \neq a} \langle jj|cc \rangle t_i^c \right] \delta_{ab}. \end{aligned} \quad (20)$$

Kossoski, Marie, Scemama, Caffarel & Loos JCTC 17 (2021) 4756

NB: Same strategy works for VCC [Marie, Kossoski & Loos JCP 155 (2021) 104105]

Stretching linear H₄/STO-6G: pCCD vs DOCI with HF ground-state reference



Orbital optimization

Orbital rotations via unitary transformation

$$\tilde{E}(\hat{T}, \hat{K}) = \langle \Psi_0 | \underbrace{(\hat{I} + \hat{Z})}_{\text{de-excitation operator}} e^{-\hat{T}} e^{-\hat{K}} \hat{H} e^{\hat{K}} e^{\hat{T}} | \Psi_0 \rangle \quad (21)$$

NB: pCCD is not invariant wrt orbital rotations...

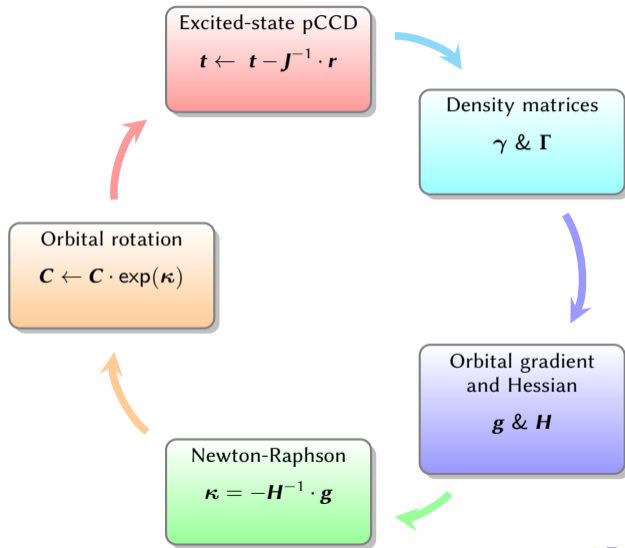
Updating the orbital coefficients

$$\tilde{E}(\boldsymbol{\kappa}) \approx \tilde{E}(\mathbf{0}) + \mathbf{g} \cdot \boldsymbol{\kappa} + \frac{1}{2} \boldsymbol{\kappa}^\dagger \cdot \mathbf{H} \cdot \boldsymbol{\kappa} \Rightarrow \boxed{\underbrace{\mathbf{C}}_{\text{new coefficients!}} \leftarrow \mathbf{C} \cdot e^{\boldsymbol{\kappa}} \quad \text{with} \quad \boldsymbol{\kappa} = -\mathbf{H}^{-1} \cdot \mathbf{g}} \quad (22)$$

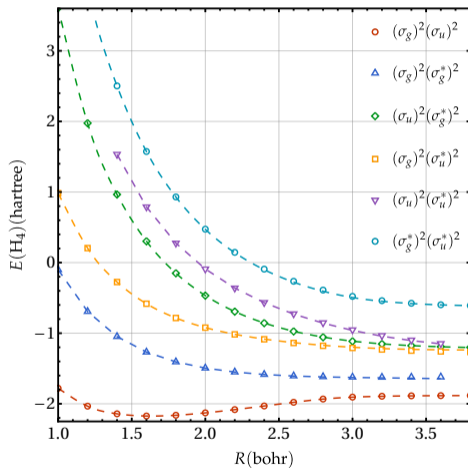
$$\text{Density matrices } \boldsymbol{\gamma} \text{ \& } \boldsymbol{\Gamma} \Rightarrow \underbrace{g_{pq}}_{\text{gradient}} = \left. \frac{\partial \tilde{E}(\boldsymbol{\kappa})}{\partial \kappa_{pq}} \right|_{\boldsymbol{\kappa}=\mathbf{0}} \quad \underbrace{H_{pq,rs}}_{\text{Hessian}} = \left. \frac{\partial^2 \tilde{E}(\boldsymbol{\kappa})}{\partial \kappa_{pq} \partial \kappa_{rs}} \right|_{\boldsymbol{\kappa}=\mathbf{0}} \quad (23)$$

Henderson et al. JCP 141 (2014) 244104

State-specific orbital-optimized TpCCD (oo-TpCCD) for excited states



Stretching linear H₄/STO-6G: TpCCD vs DOCI with state-specific TpCCD reference



Lowest doubly-excited state of CH⁺

molecule	method	ΔE (eV)	$\Delta\Delta E$ (eV)
CH ⁺ ¹	Δoo -TpCCD	8.36	-0.19
	FCI ²	8.55	0
	EOM-CCSDT ³	8.62	+0.07
	EOM-CCSDt ³	8.64	+0.09
	EOM-oo-pCCD-LCCSD ⁴	8.84	+0.29
	EOM-pCCD-LCCSD ⁴	7.61	-0.94
	CC3 ⁵	8.78	+0.23

¹Basis set and geometry taken from Olsen et al. CPL 154 (1989) 380

²Results from Olsen et al. CPL 154 (1989) 380

³Results from Kowalski & Piecuch, CPL 347(2001) 237

⁴Results from Boguslawski, JCTC 15 (2019) 18

⁵Results from Christiansen et al. JCP 103 (1995) 7429

More doubly-excited states for molecules (6-31+G*)...

molecule	method	ΔE (eV)	$\Delta\Delta E$ (eV)
BH	Δ_{oo} -TpCCD	7.35	+0.24
	FCI	7.11	0
	EOM-CCSDTQ	7.12	+0.01
	EOM-CCSDT	7.15	+0.04
	CC3	7.30	+0.19
HNO	Δ_{oo} -TpCCD	4.49	-0.02
	FCI ¹	4.51	0
	EOM-CCSDTQ ¹	4.54	+0.03
	EOM-CCSDT ¹	4.81	+0.30
	CC3 ¹	5.28	+0.77
H ₃ C-NO	Δ_{oo} -TpCCD	4.66	-0.20
	FCI ¹	4.86	0
	EOM-CCSDT ¹	5.26	+0.40
	CC3 ¹	5.73	+0.87
H ₂ C=O	Δ_{oo} -TpCCD	11.26	+0.40
	FCI ¹	10.86	0
	EOM-CCSDTQ ¹	10.87	+0.01
	EOM-CCSDT ¹	11.10	+0.24
	CC3 ¹	11.49	+0.63

¹Results and geometries from Loos et al. JCTC 15 (2019) 1939

Quantum Package 2.0: <https://github.com/QuantumPackage/qp2>

The image displays the Quantum Package 2.0 interface, which includes a terminal window on the left showing various commands and their outputs, a central 3D rendering of a cardboard box labeled "QUANTUM PACKAGE 2.0" with a stylized atomic symbol on top, and a window on the right displaying a table of numerical data and a small 3D ball-and-stick molecular model of a water molecule.

*“Quantum Package 2.0: An Open-Source Determinant-Driven Suite of Programs”,
Garniron et al., JCTC 15 (2019) 3591*

Fábris' repo: https://github.com/kossoski/qp_plugins_kossoski

Acknowledgements & Funding

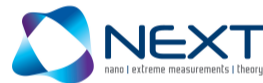
- **DFT for ensembles:** Clotilde Marut, Bruno Senjean & Emmanuel Fromager
- **Basis-set correction:** Barthélémy Pradines, Julien Toulouse & Emmanuel Giner
- **MBPT:** Enzo Monino, Juliette Authier, Roberto Orlando, Stefano Di Sabatino, Pina Romaniello, Arjan Berger, Ivan Duchemin & Xavier Blase
- **CIPSI:** Mika Véril, Yann Garniron, Yann Damour, Martial Boggio-Pasqua, Denis Jacquemin, Emmanuel Giner, Anouar Benali, Michel Caffarel & Anthony Scemama
- **CC for excited states:** Antoine Marie, Raul Quintero, Fabris Kossoski & Hugh Burton



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