

Quantum Chemistry in the Complex Domain

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5th June 2019



- Selected CI and QMC



Anthony
Scemama



Michel
Caffarel



Mika
Véril



Clotilde
Marut

- Green's function methods



Arjan
Berger



Pina
Romaniello



Mr/Ms
Postdoc

Loos, Romaniello & Berger, JCTC 14 (2018) 3071

Véril, Romaniello, Berger & Loos, JCTC 14 (2018) 5220

Quantum Package 2.0: the greatest thing since sliced baguette

Quantum Package (QP_PLUGINS(1))

```
NAME
  qp_plugins - | Quantum Package >

This command deals with all external plugins of Quantum Package.
Plugin repositories can be downloaded, and the plugins in these
repositories can be installed/uninstalled or created.

USAGE
  qp_plugins list [-s] [-u] [-n]
  qp_plugins download <url>
  qp_plugins install <name>...
  qp_plugins uninstall <name>
  qp_plugins create -n <name> [-r <repo>] [needed packages]

List
  list      List all the available plugins.
  -l, --installed
             List all the installed plugins.
  -u, --uninstalled
             List all the uninstalled plugins.
Manual page qp_plugins.html 1/50 21k (press h for help)
```

QUANTUM PACKAGE 2.0

1	8645.000000	-0.000184
2	1909.000000	-0.001154
3	228.000000	-0.005125
4	44.710000	-0.023512
5	21.000000	-0.037480
6	7.493000	-0.448384
7	2.197000	-0.285074
8	0.521500	-0.015204
9	0.000000	0.000492
10	0.000000	0.009329
11	228.000000	0.027077
12	44.710000	0.101718
13	21.000000	0.218740
14	7.493000	0.448384
15	2.197000	0.285074
16	0.521500	0.015204
17	0.000000	0.000492
18	0.000000	0.009329

methanol

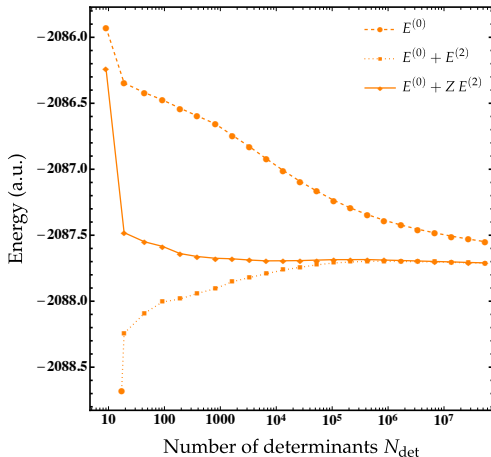
```
$ qp create_efio -b cc-pvdz methanol.xyz -o methanol
[methanol]
$ qp run scf &> scf.out
[methanol]
$ qp get hartree_fock energy
-115.048415819756
[methanol]
$ qp
convert_output_to_efio  san      set_file
create_efio             spirun  set_frozen_core
edit                    plugins set_mo_class
post                    reset   sfrun
-h                      run     unset_file
has                      set     update
[methanol]
$ qp
```

methanol

1 8645.000000 -0.000184
2 1909.000000 -0.001154
3 228.000000 -0.005125
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16 0.521500 0.015204
17 0.000000 0.000492
18 0.000000 0.009329

25, 0-1 Top

*"Quantum Package 2.0: An Open-Source Determinant-Driven Suite of Programs",
Garniron et al., JCTC (ASAP) 10.1021/acs.jctc.9b00176*

Ground state of Cr_2 in cc-pVQZ: full-valence CAS(28,198)

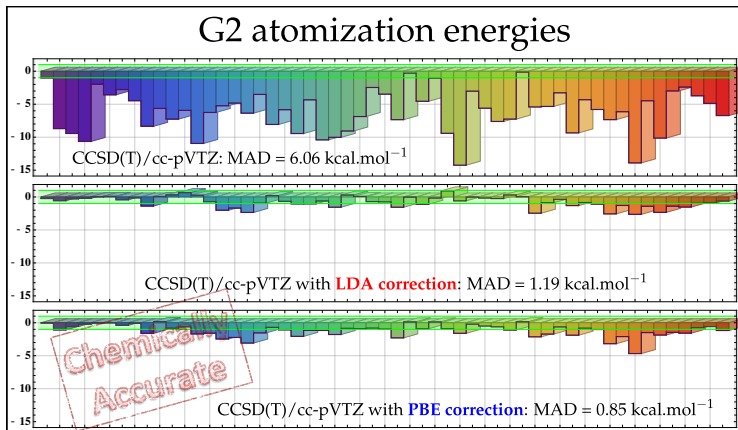
What can you do with QP2? (Basically everything but here's a short list...)

Applications

- **sCI+PT2**: Benchmarking excited-state methods
Loos, Scemama, Blondel, Garniron, Caffarel & Jacquemin, JCTC 14 (2018) 4360
- **sCI+PT2**: Double excitations
Loos, Boggio-Pasqua, Scemama, Caffarel & Jacquemin, JCTC 15 (2019) 1939
- **sCI+QMC**: “Challenging” case of FeS
Scemama, Garniron, Caffarel & Loos, JCTC 14 (2018) 1395
- **sCI+QMC**: Excitation energies with “deterministic” nodes
Scemama, Benali, Jacquemin, Caffarel & Loos, JCP 149 (2019) 064103

Developments

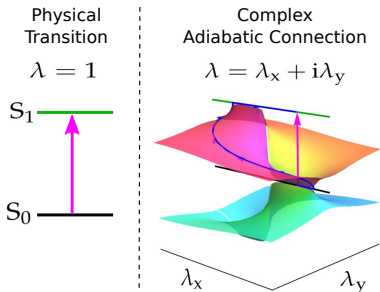
- **Semi-stochastic PT2**
Garniron, Scemama, Loos & Caffarel, JCP 147 (2017) 034101
- **Renormalized PT2 & stochastic selection**
Garniron et al., JCTC (ASAP) 10.1021/acs.jctc.9b00176
- **Internally-decontrated version (shifted-Bk)**
Garniron, Scemama, Giner, Caffarel & Loos, JCP 149 (2018) 064103



*"A Density-Based Basis-Set Correction for Wave Function Theory",
Loos, Pradines, Scemama, Toulouse & Giner JPCL 10 (2019) 2931*

How to morph a ground state into an excited state?

$$\hat{H} = -\frac{1}{2}\nabla^2 + \lambda \sum_{i<j} \frac{1}{r_{ij}}$$



“Complex Adiabatic Connection: a Hidden Non-Hermitian Path from Ground to Excited States”,

Burton, Thom & Loos, JCP 150 (2019) 041103

“PT-Symmetry in Hartree-Fock Theory”,

Burton, Thom & Loos, JCTC (revised) arXiv:1903.08489

Section 2

Non-Hermitian quantum chemistry

Let's consider the Hamiltonian for two electrons on a unit sphere

$$\mathbf{H} = -\frac{\nabla_1^2 + \nabla_2^2}{2} + \frac{\lambda}{r_{12}}$$

Loos & Gill, PRL 103 (2009) 123008

The CID/CCD Hamiltonian for 2 states reads

$$\mathbf{H} = \mathbf{H}^{(0)} + \lambda \mathbf{H}^{(1)} = \begin{pmatrix} \lambda & \lambda/\sqrt{3} \\ \lambda/\sqrt{3} & 2 + 7\lambda/5 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 2 \end{pmatrix} + \lambda \begin{pmatrix} 1 & 1/\sqrt{3} \\ 1/\sqrt{3} & 7/5 \end{pmatrix}$$

The eigenvalues are

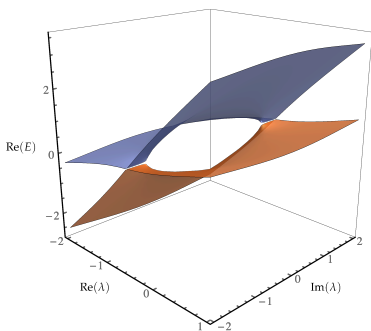
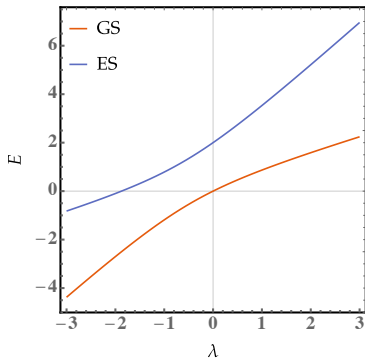
$$E_{\pm} = 1 + \frac{18\lambda}{15} \pm \sqrt{1 + \frac{2\lambda}{5} + \frac{28\lambda^2}{75}}$$

For complex λ , the Hamiltonian becomes non Hermitian.

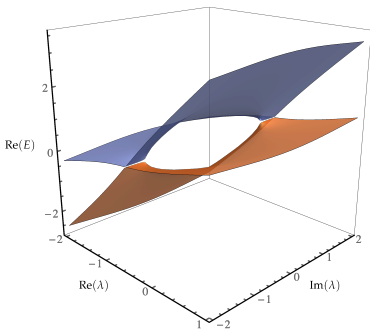
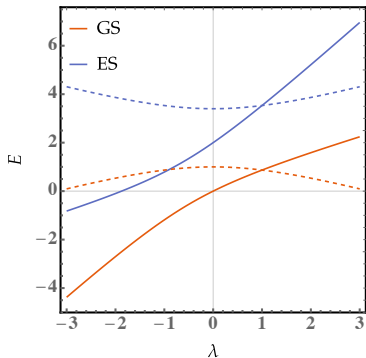
There is a (square-root) singularity in the complex- λ plane at

$$\lambda_{\text{EP}} = -\frac{15}{28} \left(1 \pm i \frac{5}{\sqrt{3}} \right) \quad (\text{Exceptional points}) \quad |\lambda_{\text{EP}}| \approx 1.64 > 1$$

Moiseyev, *Non-Hermitian Quantum Mechanics*, Cambridge University Press, 2011

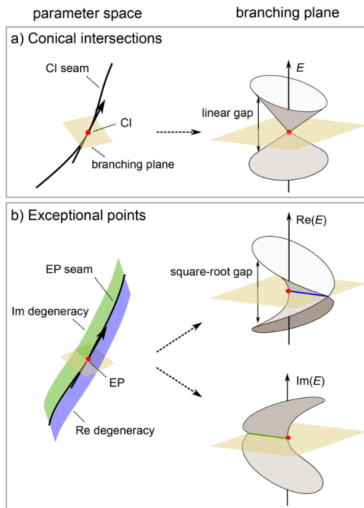


- There is an **avoided crossing** at $\text{Re}(\lambda_{\text{EP}})$
- Square-root branch cuts from λ_{EP} running parallel to the Im axis towards $\pm i\infty$
- (non-Hermitian) exceptional points \equiv (Hermitian) conical intersection
- $\text{Im}(\lambda_{\text{EP}})$ is linked to the radius of convergence of PT



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Conical intersection (CI) vs exceptional point (EP)



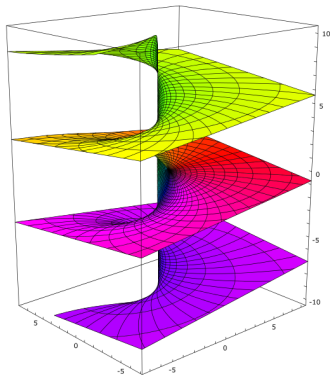
Benda & Jagau, JPCL 9 (2018) 6978

- At CI, eigenvectors stay orthogonal
- At EP, both eigenvalues and eigenvectors coalesce (**self-orthogonal state**)
- Encircling CI, states do not interchange but wave function picks up **geometric phase**
- Encircling EP, states can interchange and wave function picks up geometric phase
- Encircling EP clockwise or anticlockwise yields different states

- Quantum mechanics is quantized because we're looking at it in the real plane (**Riemann sheets** or parking garage)
- If you extend real numbers to complex numbers **you lose the ordering property** of real numbers
- So, can we interchange ground and excited states away from the real axis?
- How do we do it (in practice)?



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Let's consider (again) the Hamiltonian for two electrons on a unit sphere

$$\hat{H} = -\frac{\nabla_1^2 + \nabla_2^2}{2} + \frac{\lambda}{r_{12}}$$

We are looking for a UHF solution of the form

$$\Psi_{\text{UHF}}(\theta_1, \theta_2) = \varphi(\theta_1)\varphi(\pi - \theta_2)$$

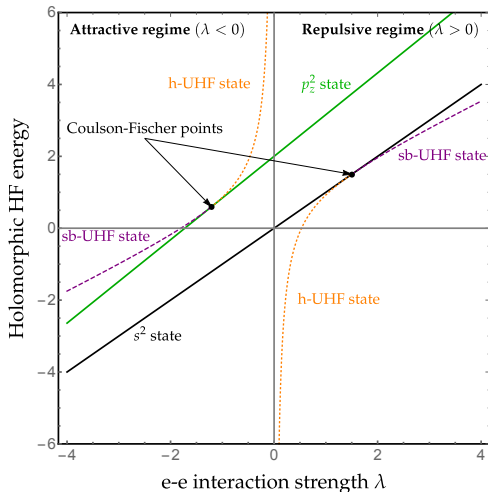
where the spatial orbital is $\varphi = s \cos \chi + p_z \sin \chi$.

Ensuring the stationarity of the UHF energy, i.e., $\partial E_{\text{UHF}}/\partial \chi = 0$

$$\sin 2\chi (75 + 6\lambda - 56\lambda \cos 2\chi) = 0$$

or

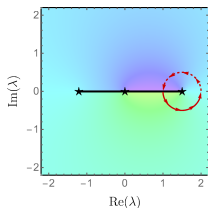
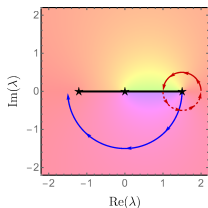
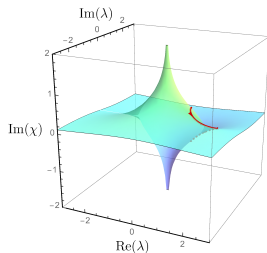
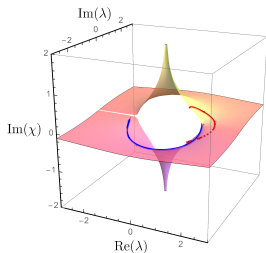
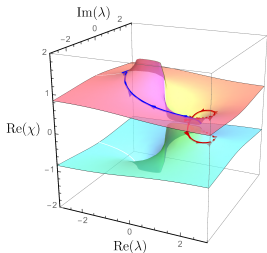
$$\chi = 0 \text{ or } \pi/2 \qquad \chi = \pm \arccos \left(\frac{3}{28} + \frac{75}{56\lambda} \right)$$

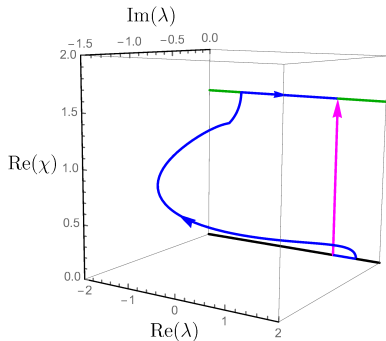
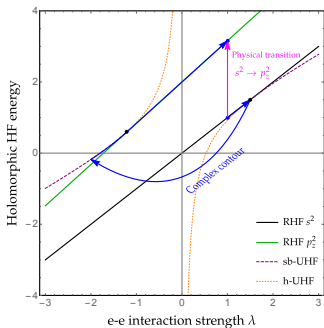


$$E_{\text{RHF}}^{s^2}(\lambda) = \lambda \quad E_{\text{RHF}}^{p_z^2}(\lambda) = 2 + \frac{29\lambda}{25} \quad E_{\text{UHF}}(\lambda) = -\frac{75}{112\lambda} + \frac{25}{28} + \frac{59\lambda}{84}$$

Analytical continuation and state interconversion

$$\arccos(z) = \pi/2 + i \log\left(iz + \sqrt{1-z^2}\right) \quad z = 3/28 + 75/(56\lambda)$$





Coulson-Fisher points \approx exceptional points \Rightarrow **quasi-exceptional points**

Section 3

\mathcal{PT} -symmetric Quantum Mechanics

Real Spectra in Non-Hermitian Hamiltonians Having \mathcal{PT} SymmetryCarl M. Bender¹ and Stefan Boettcher^{2,3}¹*Department of Physics, Washington University, St. Louis, Missouri 63130*²*Center for Nonlinear Studies, Los Alamos National Laboratory, Los Alamos, New Mexico 87545*³*CTSPS, Clark Atlanta University, Atlanta, Georgia 30314*

(Received 1 December 1997; revised manuscript received 9 April 1998)

The condition of self-adjointness ensures that the eigenvalues of a Hamiltonian are real and bounded below. Replacing this condition by the weaker condition of \mathcal{PT} symmetry, one obtains new infinite classes of complex Hamiltonians whose spectra are also real and positive. These \mathcal{PT} symmetric theories may be viewed as analytic continuations of conventional theories from real to complex phase space. This paper describes the unusual classical and quantum properties of these theories. [S0031-9007(98)06371-6]

The spectrum of the Hamiltonian

$$\hat{H} = p^2 + i x^3$$

is *real and positive*.

Why?

The spectrum of the Hamiltonian

$$\hat{H} = p^2 + i x^3$$

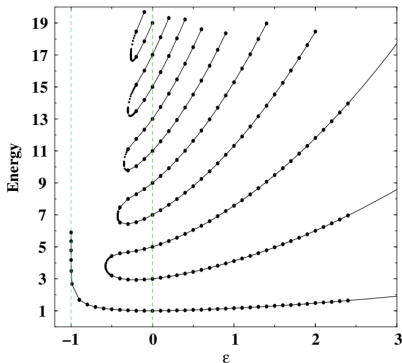
is *real and positive*.

Why?

Because it is \mathcal{PT} symmetric, i.e. invariant under the *combination* of

- parity \mathcal{P} : $p \rightarrow -p$ and $x \rightarrow -x$
- time reversal \mathcal{T} : $p \rightarrow -p$, $x \rightarrow x$ and $i \rightarrow -i$
- Combined \mathcal{PT} : $p \rightarrow p$, $x \rightarrow -x$ and $i \rightarrow -i$

$$\hat{H} = p^2 + x^2(ix)^\epsilon$$



- $\epsilon \geq 0$: unbroken \mathcal{PT} -symmetry region
- $\epsilon = 0$: \mathcal{PT} boundary
- $\epsilon < 0$: broken \mathcal{PT} -symmetry region
(eigenfunctions of \hat{H} aren't eigenfunctions of \mathcal{PT} simultaneously)

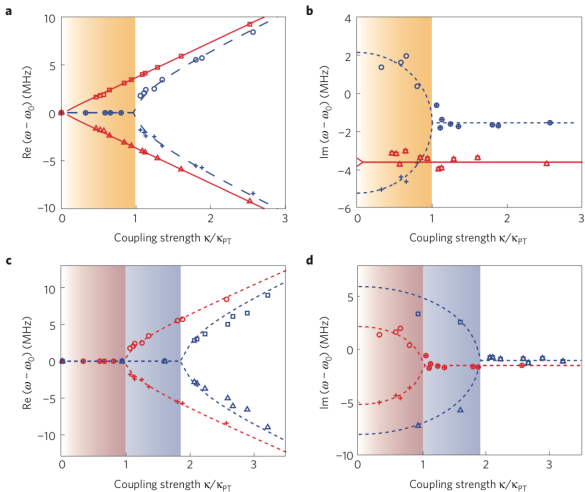
\mathcal{PT} -symmetric QM is an extension of QM into the complex plane

- Hermitian: $\hat{H} = \hat{H}^\dagger$ where \dagger means transpose + complex conjugate
- \mathcal{PT} -symmetric: $\hat{H} = \hat{H}^{\mathcal{PT}}$, i.e. $\hat{H} = \mathcal{PT}\hat{H}(\mathcal{PT})^{-1}$
- Hermiticity is very powerful as it guarantees **real energies** and **conserves probability**
- (unbroken) \mathcal{PT} symmetry is a *weaker* condition which still ensure real energies and probability conservation

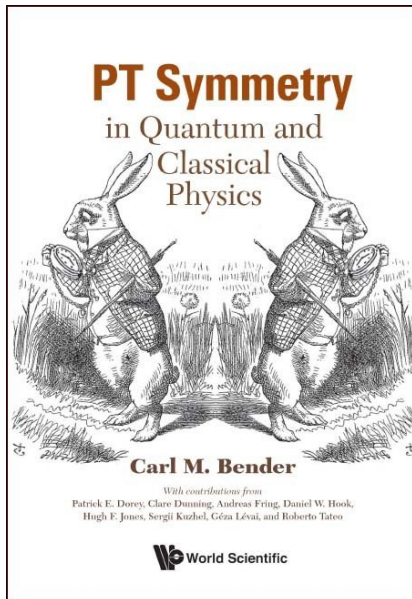


Hermitian vs \mathcal{PT} -symmetric vs Non-Hermitian

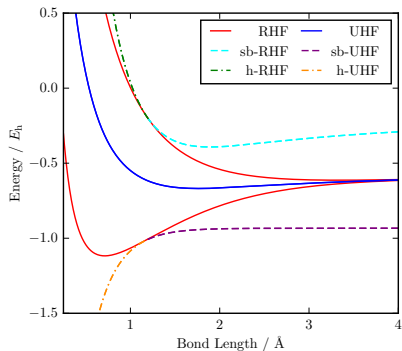
Hermitian \hat{H}	\mathcal{PT} -symmetric \hat{H}	non-Hermitian \hat{H}
$\hat{H}^\dagger = \hat{H}$	$\hat{H}^{\mathcal{PT}} = \hat{H}$	$\hat{H}^\dagger \neq \hat{H}$
Closed systems	\mathcal{PT} -symmetric systems	Open systems
$\langle a b \rangle = a^\dagger \cdot b$	$\langle a b \rangle = a^{\mathcal{CPT}} \cdot b$	(scattering, resonances, etc)



"Parity-time-symmetric whispering-gallery microcavities"
 Peng et al. *Nature Physics* 10 (2014) 394



\mathcal{PT} -symmetry in Hartree-Fock theory: minimal basis H_2



Real UHF solution \equiv covalent configuration



Real RHF solution \equiv ionic configuration

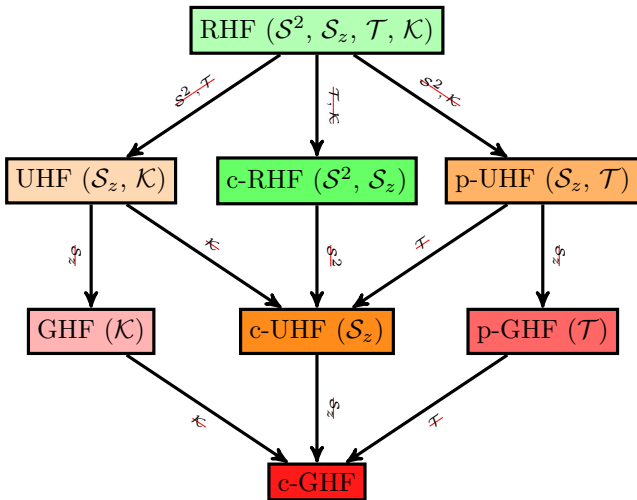


Burton, Thom & Loos, JCTC (revised) arXiv:1903.08489

\mathcal{PT} -HF theory

- MOs are \mathcal{PT} -symmetric iff Fock operator is \mathcal{PT} -symmetric (and vice-versa)
- Like other symmetries, \mathcal{PT} -symmetry “propagates” during SCF process
- If MOs are \mathcal{PT} -symmetric then MOs energies and HF energy are **real**
- \mathcal{PT} -symmetry can be ensured by constructing **\mathcal{PT} -doublets**

The seven families of HF solutions



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- Hugh Burton and Alex Thom (Cambridge)
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- Emmanuel Fromager (Strasbourg)

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