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# Uniform electron gases. II. The generalized local density approximation in one dimension

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We introduce a generalization (gLDA) of the traditional Local Density Approximation (LDA) within density functional theory. The gLDA uses both the one-electron Seitz radius  $r_s$  and a two-electron hole curvature parameter  $\eta$  at each point in space. The gLDA reduces to the LDA when applied to the infinite homogeneous electron gas but, unlike the LDA, it is also exact for finite uniform electron gases on spheres. We present an explicit gLDA functional for the correlation energy of electrons that are confined to a one-dimensional space and compare its accuracy with LDA, second- and third-order Møller-Plesset perturbation energies, and exact calculations for a variety of inhomogeneous systems. © 2014 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4867910]

### I. LOCAL DENSITY APPROXIMATION

The local density approximation (LDA), unlike most of the "sophisticated" density functional approximations in widespread use today, is truly a first-principles quantum mechanical method. It is entirely non-empirical, depending instead on the properties of one of the great paradigms of modern physics: the infinite homogeneous electron gas (HEG). Application of the LDA is straightforward, at least in principle. Although the electronic charge density  $\rho(\mathbf{r})$  in any real system is non-uniform, the LDA proceeds by assuming that the charge in an infinitesimal volume element around the point  $\mathbf{r}$  behaves like a locally homogeneous gas of density  $\rho(\mathbf{r})$ , and adds all of the resulting contributions together. This implicitly assumes that the infinitesimal contributions are independent (which is undoubtedly not the case) but then requires only that the properties of the HEG be known for all values of  $\rho$ .

The density of a HEG is commonly given by  $\rho$  (the number of electrons per unit volume) or the Seitz radius  $r_s$  and these equivalent parameters are related by

$$r_s^{\mathcal{D}} \rho = \pi^{-\mathcal{D}/2} \Gamma(\mathcal{D}/2 + 1), \tag{1}$$

where  $\mathcal{D}$  is the dimensionality of the space in which the electrons move. In terms of these, the LDA correlation functional is

$$E_c^{\text{LDA}} = \int \rho(\mathbf{r}) \varepsilon_c(r_s(\mathbf{r})) d\mathbf{r}, \qquad (2)$$

where the correlation kernel  $\varepsilon_c(r_s)$  is the reduced (i.e., per electron) correlation energy of the HEG with Seitz radius  $r_s$ .

In high-density HEGs (i.e.,  $r_s \ll 1$ ), the kinetic energy dominates the Hamiltonian and the Coulomb repulsion between the electrons can be treated via perturbation theory. This has facilitated investigations of  $\varepsilon_c(r_s)$  in  $3D^{4-19}$  and  $2D^{20-29}$  but, because the Coulomb operator is so strong in 1D

that two electrons cannot touch, the 1D gas has received less attention.  $^{30-32}$ 

In low-density HEGs (i.e.,  $r_s \gg 1$ ), the potential energy dominates, the electrons localize into a Wigner crystal and strong-coupling methods can be used to find asymptotic expansions of  $\varepsilon_c(r_s)$ . Here, too, the 3D, <sup>33–35</sup> 2D, <sup>36,37</sup> and 1D<sup>30</sup> HEGs have all been studied.

For intermediate densities, the best estimates of  $\varepsilon_c(r_s)$  come from Quantum Monte Carlo (QMC) calculations, as pioneered by Ceperley and refined by several other groups. <sup>38–53</sup> By combining these with the high- and low-density results, various groups <sup>54–57</sup> have constructed interpolating functions that allow  $\varepsilon_c(r_s)$  to be estimated rapidly for any value of  $r_s$ .

Unfortunately, this approach is flawed, for the correlation energy of a uniform electron gas depends on more than just its  $r_s$  value.<sup>58</sup> We have therefore argued that  $\varepsilon_c(r_s)$  should be generalized to  $\varepsilon_c(r_s, \eta)$ , where the parameter  $\eta$  measures the *two-electron* density. Although not mathematically mandated,<sup>59</sup> we prefer that  $\eta$ , like  $r_s$ , be a *local* quantity. In Sec. II, we propose a definition for  $\eta$  inspired by a number of previous researchers.<sup>60–64</sup>

To learn more about the two-parameter kernel, we have turned to the finite uniform electron gases (UEGs) formed when n electrons are confined to a  $\mathcal{D}$ -sphere. <sup>65–72</sup> In Sec. III, we report accurate values of  $\eta$  and  $\varepsilon_c(r_s, \eta)$  for electrons on a 1-sphere, systems that we call "n-ringium." In Sec. IV, we devise three functionals to approximate these results and in Sec. V, we test two of these on small 1D systems. Atomic units are used throughout.

# **II. HOLE CURVATURE**

Suppose that an electron lies at a point **r**. The probability  $P(\mathbf{u}|\mathbf{r})$  that a second electron lies at  $\mathbf{r} + \mathbf{u}$  is given<sup>60,61,73–82</sup> by the conditional intracule

$$P(\mathbf{u}|\mathbf{r}) = \rho_2(\mathbf{r}, \mathbf{r} + \mathbf{u})/\rho(\mathbf{r}) = [\rho(\mathbf{r} + \mathbf{u}) + \rho_{xc}(\mathbf{r}, \mathbf{r} + \mathbf{u})]/2,$$
(3)

where  $\rho_{xc}$  is the exchange-correlation hole<sup>1</sup> and

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$$\rho_2(\mathbf{r}_1, \mathbf{r}_2) = n(n-1) \int |\Psi|^2 ds_1 ds_2 d\mathbf{r}_3 \dots d\mathbf{r}_n$$
 (4)

is the spinless second-order density matrix.<sup>83</sup> For fixed  $\mathbf{r}$ , we have the normalization

$$\int P(\mathbf{u}|\mathbf{r}) d\mathbf{u} = n - 1. \tag{5}$$

Because the Laplacian  $\nabla_{\mathbf{u}}^2 P(\mathbf{0}|\mathbf{r})$  measures the tightness of the hole around the electron at  $\mathbf{r}$  and has dimensions of  $1/(\text{length})^{D+2}$ , we can use the dimensionless hole curvature

$$\eta(\mathbf{r}) = C_{\mathcal{D}} r_{s}(\mathbf{r})^{\mathcal{D}+2} \nabla_{\mathbf{r}}^{2} P(\mathbf{0}|\mathbf{r})$$
 (6)

to measure the proximity of other electrons to one at  $\mathbf{r}$ . (We will fix the coefficient  $C_{\mathcal{D}}$  in Sec. III A.) It is difficult to find this Laplacian for the exact wave function but, at the Hartree-Fock (HF) level, it involves simple sums over the occupied orbitals, viz.

$$\nabla_{\mathbf{u}}^{2} P(\mathbf{0}|\mathbf{r}) = 2 \sum_{i}^{\text{occ}} |\nabla \psi_{i}|^{2} - \frac{|\nabla \rho|^{2}}{2\rho}$$
 (7)

and we will therefore employ HF curvatures henceforth.<sup>84</sup> The interesting connection between the curvature and the kinetic energy density<sup>62</sup> is worth noting.

#### III. CALCULATIONS ON n-RINGIUM

# A. Density and curvature

The HF orbitals of the ground state of n electrons on a ring of radius R are complex exponentials  $^{71,72}$ 

$$\psi_m(\theta) = (2\pi R)^{-1/2} \exp(im\theta), \tag{8}$$

$$m = -\frac{n-1}{2}, -\frac{n-3}{2}, \dots, +\frac{n-3}{2}, +\frac{n-1}{2},$$
 (9)

and, because of the symmetry of the system, the density and Seitz radius

$$\rho = n/(2\pi R). \tag{10}$$

$$r_s = \pi R/n \tag{11}$$

do not depend on  $\theta$ . The hole curvature is also constant and, using (6) and (7), one finds

$$\eta = 2C_1(\pi R/n)^3 \sum_{m}^{\text{occ}} m^2/(2\pi R^3)$$
$$= C_1(\pi^2/12)(1 - 1/n^2). \tag{12}$$

If we choose  $C_1 = 12/\pi^2$  so that  $\eta = 1$  for the 1D HEG (i.e.,  $\infty$ -ringium), we obtain

$$n = 1 - 1/n^2. (13)$$

In general, requiring that  $\eta = 1$  in the  $\mathcal{D}$ -dimensional HEG leads (via Fermi integration) to

$$C_{\mathcal{D}} = \frac{(1 + 2/\mathcal{D})\pi^{\mathcal{D}/2}/8}{\Gamma(1 + \mathcal{D}/2)^{1+4/\mathcal{D}}}$$
(14)

and the particular values  $C_2 = \pi/4$  and  $C_3 = (10/27)(4\pi/3)^{1/3}$ .

#### B. Correlation energy

The Hamiltonian for n electrons on a ring is

$$\hat{H} = \hat{T} + \hat{V} = -\frac{1}{2} \sum_{i=1}^{n} \nabla_i^2 + \sum_{i < j}^{n} r_{ij}^{-1}, \tag{15}$$

where  $r_{ij}$  is the distance (across the ring) between electrons i and j. As noted previously,<sup>72</sup> the energy is independent of the spin-state and so we assume that all electrons are spin-up. The exact wave function can then be written as  $\Psi = F\Phi$ , where the correlation factor

$$F = \sum_{a=1}^{\infty} x_a f_a \tag{16}$$

is a sum of functions  $f_a$  which are  $m_a$ -term symmetric polynomials in the  $r_{ij}$  (see Table I) and  $\Phi$  is the HF wave function<sup>72</sup>

$$\Phi = \frac{1}{\sqrt{n!(2\pi)^n}} \prod_{i< j}^n \hat{r}_{ij}.$$
 (17)

Judicious integration by parts allows us to partition the total energy

$$E = \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle} \tag{18}$$

into the HF energy<sup>72</sup>

$$E_{HF} = T_{HF} + V_{HF}$$

$$= \frac{n(n^2 - 1)}{24R^2} + \frac{1}{4\pi R} \left( \sum_{k=1}^{n} \frac{4n^2 - 1}{2k - 1} - 3n^2 \right)$$
(19)

TABLE I. Definitions  $f_a$  and number of terms  $m_a$  in the correlation factors of degree 0, 1, 2, 3.

|       | Degree 0 |       | Degree 1      |             | Deg                 | gree 2                       | Degr                      | Degree 3                  |  |  |
|-------|----------|-------|---------------|-------------|---------------------|------------------------------|---------------------------|---------------------------|--|--|
|       | $f_1$    | $m_1$ | $f_2$         | $m_2$       | $f_3f_5$            | $m_3 \dots m_5$              | $f_6f_{13}$               | $m_6m_{13}$               |  |  |
|       | 1        | 1     | $\sum r_{ij}$ | $_{n}C_{2}$ | $\sum r_{ij}^2$     | $_{n}C_{2}$                  | $\sum r_{ij}^3$           | $_{n}$ C <sub>2</sub>     |  |  |
|       |          |       |               |             | $\sum r_{ij}r_{ik}$ | $6 {}_{n}C_{3}$              | $\sum r_{ij}r_{ik}r_{jk}$ | $6 nC_3$                  |  |  |
|       |          |       |               |             | $\sum r_{ij}r_{kl}$ | $6 {}_{n}C_{4}$              | $\sum r_{ij}^2 r_{ik}$    | $18_nC_3$                 |  |  |
|       |          |       |               |             |                     |                              | $\sum r_{ij}^2 r_{kl}$    | $18_nC_4$                 |  |  |
|       |          |       |               |             |                     |                              | $\sum r_{ij}r_{ik}r_{il}$ | $24 {}_{n}\mathrm{C}_{4}$ |  |  |
|       |          |       |               |             |                     |                              | $\sum r_{ij}r_{ik}r_{jl}$ | $72 {}_{n}\mathrm{C}_{4}$ |  |  |
|       |          |       |               |             |                     |                              | $\sum r_{ij}r_{ik}r_{lm}$ | $180_{n}C_{5}$            |  |  |
|       |          |       |               |             |                     |                              | $\sum r_{ij}r_{kl}r_{mn}$ | $90_nC_6$                 |  |  |
| Total | 1        | 1     | $\sum r_{ij}$ | $_{n}C_{2}$ | $(\sum r_{ij})^2$   | $({}_{n}\mathrm{C}_{2})^{2}$ | $(\sum r_{ij})^3$         | $({}_{n}C_{2})^{3}$       |  |  |

TABLE II.  $\eta$  and  $-\varepsilon_c(r_s, \eta)$  (m $E_h$  per electron) for the ground state of n electrons on a ring.

|          |        | $r_s$  |        |        |        |        |        |        |       |       |       |       |
|----------|--------|--------|--------|--------|--------|--------|--------|--------|-------|-------|-------|-------|
| n        | $\eta$ | 0      | 1/10   | 1/5    | 1/2    | 1      | 2      | 5      | 10    | 20    | 50    | 100   |
| 1        | 0      | 0      | 0      | 0      | 0      | 0      | 0      | 0      | 0     | 0     | 0     | 0     |
| 2        | 3/4    | 13.212 | 12.985 | 12.766 | 12.152 | 11.250 | 9.802  | 7.111  | 4.938 | 3.122 | 1.533 | 0.848 |
| 3        | 8/9    | 18.484 | 18.107 | 17.747 | 16.755 | 15.346 | 13.179 | 9.369  | 6.427 | 4.030 | 1.965 | 1.083 |
| 4        | 15/16  | 21.174 | 20.700 | 20.250 | 19.027 | 17.324 | 14.765 | 10.391 | 7.087 | 4.425 | 2.150 | 1.184 |
| 5        | 24/25  | 22.756 | 22.216 | 21.706 | 20.332 | 18.444 | 15.648 | 10.947 | 7.441 | 4.636 | 2.249 | 1.237 |
| 6        | 35/36  | 23.775 | 23.190 | 22.638 | 21.161 | 19.148 | 16.196 | 11.285 | 7.655 | 4.774 | 2.307 | 1.268 |
| 7        | 48/49  | 24.476 | 23.855 | 23.273 | 21.723 | 19.618 | 16.557 | 11.509 | 7.795 | 4.844 | 2.345 | 1.289 |
| 8        | 63/64  | 24.981 | 24.328 | 23.729 | 22.122 | 19.951 | 16.813 | 11.664 | 7.890 | 4.901 | 2.370 | 1.302 |
| 9        | 80/81  | 25.360 | 24.686 | 24.067 | 22.415 | 20.199 | 17.001 | 11.777 | 7.960 | 4.941 | 2.389 | 1.312 |
| 10       | 99/100 | 25.651 | 24.960 | 24.327 | 22.644 | 20.386 | 17.143 | 11.857 | 8.013 | 4.973 | 2.404 | 1.320 |
| $\infty$ | 1      | 27.416 | 26.597 | 25.91  | 23.962 | 21.444 | 17.922 | 12.318 | 8.292 | 5.133 | 2.476 | 1.358 |

and the correlation energy

$$E_c = \frac{\langle \Phi \mid \frac{1}{2} \nabla F \cdot \nabla F + (\hat{V} - V_{HF}) F^2 \mid \Phi \rangle}{\langle \Phi \mid F^2 \mid \Phi \rangle}.$$
 (20)

 $E_c$  can be minimized either by QMC methods<sup>52</sup> or via the secular equation

$$(\mathbf{T} + \mathbf{V})\mathbf{x} = E_c \mathbf{S} \mathbf{x},\tag{21}$$

where the overlap, kinetic, and Coulomb matrix elements

$$S_{ab} = \langle \Phi | f_a f_b | \Phi \rangle, \tag{22a}$$

$$T_{ab} = \frac{1}{2} \langle \Phi | \nabla f_a \cdot \nabla f_b | \Phi \rangle, \tag{22b}$$

$$V_{ab} = \langle \Phi | f_a \hat{V} f_b | \Phi \rangle - V_{HF} S_{ab}$$
 (22c)

can be found analytically in Fourier space (Appendix A). We have used the CASINO QMC package<sup>85</sup> and, where possible, the Knowles–Handy full CI program to confirm results.<sup>86,87</sup>

Table II shows the resulting near-exact correlation energies for ground-state n-ringium. (Where these energies differ from those in Table VI of Ref. 72, the new values are superior.) The fact that the  $\varepsilon_c$  values in a given column are not equal demonstrates that the correlation energy of a UEG is not determined by its  $r_s$  value alone.<sup>58</sup> Moreover, the variations in  $\varepsilon_c$  for a given  $r_s$  are large: the n=2 values, for example, are only about half of the  $n=\infty$  values, implying that the correlation energy of a few-electron system is grossly overestimated by the LDA functional which is based on the HEG.

# IV. GENERALIZED LOCAL DENSITY APPROXIMATION

In the LDA, the correlation contribution is estimated from  $r_s$  alone, according to Eq. (2). However, the fact that UEGs with the same  $r_s$ , but different  $\eta$ , have different energies compels us to devise a Generalized Local Density Approximation (GLDA) wherein we write

$$E_c^{\text{GLDA}} = \int \rho(\mathbf{r}) \, \varepsilon_c(r_s(\mathbf{r}), \, \eta(\mathbf{r})) \, d\mathbf{r}, \qquad (23)$$

where the correlation kernel  $\varepsilon_c(r_s, \eta)$  is the reduced correlation energy of a UEG with Seitz radius  $r_s$  and curvature  $\eta$ .

For present purposes, we will use  $r_s$  and  $\eta$  values from the HF, rather than the exact, wave function.

One might think that the kernel could be constructed by fitting the results in Table II but these data allow us to construct  $\varepsilon_c(r_s, \eta)$  only for  $\eta \leq 1$ . To construct the rest of the kernel will require accurate correlation energies for uniform gases with high curvatures  $(\eta > 1)$  but, although these arise in *excited* states of *n*-ringium, this raises some fundamental questions which lie outside the scope of the present manuscript and will be discussed elsewhere.

# A. High densities

Rayleigh-Schrödinger perturbation theory for *n*-ringium yields the high-density expansion

$$\varepsilon_c(r_s, n) = \alpha_2(n) + \alpha_3(n)r_s + \alpha_4(n)r_s^2 + \dots, \quad (r_s \ll 1).$$
(24)

The leading coefficient<sup>72</sup> is

$$\alpha_2(n) = -\frac{1}{n} \sum_{a < b}^{\text{occ}} \sum_{r=r_{\text{min}}}^{\infty} \frac{V_{r-a,r-b}^2}{(r-a)(r-b)}$$
$$= -\frac{\pi^2}{360} + \frac{a \ln^2 n + b \ln n + c}{n^2} + \dots$$
 (25)

but, if we fit a truncated version of this series, while ensuring that  $\alpha_2$  vanishes for one electron, we obtain the approximation

$$\tilde{\alpha}_2(n) = -\frac{\pi^2}{360} \left( 1 - \frac{1}{n^2} \right) + \frac{\ln^2 n + 3\ln n}{87n^2} \quad (1 \le n < \infty), \tag{26}$$

which can be rewritten in terms of the curvature, using Eq. (13) to obtain

$$\tilde{\alpha}_2(\eta) = -\frac{\pi^2}{360}\eta + (1 - \eta)\frac{\ln^2(1 - \eta) - 6\ln(1 - \eta)}{348}$$

$$(0 \le \eta \le 1). \tag{27}$$

The accuracy of this approximation is shown in columns 2 and 3 of Table III.

TABLE III. Application of the  $\tilde{\epsilon}_c(r_s, \eta)$  approximation to the data in Table II.

|          |        |             |                  |            |                 |                      | Max errors |                        |
|----------|--------|-------------|------------------|------------|-----------------|----------------------|------------|------------------------|
| n        | $\eta$ | $-\alpha_2$ | $-	ilde{lpha}_2$ | $-\beta_2$ | $-	ilde{eta}_2$ | $	ilde{\mathcal{V}}$ | %          | Abs (mE <sub>h</sub> ) |
| 2        | 3/4    | 0.01321     | 0.01321          | 0.1073     | 0.1050          | 1.9792               | 1.0        | 0.10                   |
| 3        | 8/9    | 0.01848     | 0.01862          | 0.1361     | 0.1349          | 2.1375               | 0.9        | 0.13                   |
| 4        | 15/16  | 0.02117     | 0.02133          | 0.1483     | 0.1475          | 2.2054               | 0.8        | 0.16                   |
| 5        | 24/25  | 0.02276     | 0.02291          | 0.1546     | 0.1541          | 2.2431               | 0.8        | 0.18                   |
| 6        | 35/36  | 0.02378     | 0.02391          | 0.1584     | 0.1580          | 2.2670               | 0.7        | 0.14                   |
| 7        | 48/49  | 0.02448     | 0.02460          | 0.1608     | 0.1605          | 2.2837               | 0.8        | 0.16                   |
| 8        | 63/64  | 0.02498     | 0.02509          | 0.1624     | 0.1622          | 2.2958               | 0.7        | 0.16                   |
| 9        | 80/81  | 0.02536     | 0.02546          | 0.1636     | 0.1635          | 2.3051               | 0.7        | 0.15                   |
| 10       | 99/100 | 0.02565     | 0.02574          | 0.1645     | 0.1644          | 2.3125               | 0.8        | 0.20                   |
| $\infty$ | 1      | 0.02742     | 0.02742          | 0.1689     | 0.1689          | 2.3750               | 0.8        | 0.13                   |

#### B. Low densities

Strong-coupling perturbation theory for *n*-ringium yields the low-density expansion

$$\varepsilon_c(r_s, n) = \frac{\beta_2(n)}{r_s} + \frac{\beta_3(n)}{r_s^{3/2}} + \frac{\beta_4(n)}{r_s^2} + \dots \quad (r_s \gg 1). \quad (28)$$

The leading coefficient is the difference between the Wigner crystal Coulomb coefficient

$$E_{V}^{W}(n) = \frac{\pi}{4n} \sum_{k=1}^{n-1} \csc(k\pi/n)$$

$$= \frac{1}{2} \int_{0}^{1} \frac{1 - x^{n-1}}{1 - x} \frac{dx}{1 + x^{n}}$$

$$= \frac{\ln n}{2} + \frac{\gamma + \ln(2/\pi)}{2} - \frac{\pi^{2}}{144n^{2}} + \dots$$
 (29)

and the HF Coulomb coefficient

$$E_{V}^{HF}(n) = \left(1 - \frac{1}{4n^2}\right) \sum_{k=1}^{n} \frac{1}{2k - 1} - \frac{3}{4}$$
$$= \left(1 - \frac{1}{4n^2}\right) \left(\frac{\ln n}{2} + \frac{\gamma + 2\ln 2}{2} + \frac{1}{48n^2} + \dots\right) - \frac{3}{4}.$$
(30)

It follows that

$$\beta_2(n) = \frac{3}{4} - \frac{\ln 2\pi}{2} + \frac{\ln n}{8n^2} + \frac{18\gamma + 36\ln 2 - 3 - \pi^2}{144n^2} + \dots$$
(31)

but, if we truncate this series after the  $n^{-2}$  term and modify it to ensure that  $\beta_2$  vanishes for one electron, we obtain the approximation

$$\tilde{\beta}_2(n) = \left(\frac{3}{4} - \frac{\ln 2\pi}{2}\right) \left(1 - \frac{1}{n^2}\right) + \frac{\ln n}{8n^2} \quad (1 \le n < \infty),\tag{32}$$

which can be rewritten in terms of the curvature, using Eq. (13) to obtain

$$\tilde{\beta}_2(\eta) = \left(\frac{3}{4} - \frac{\ln 2\pi}{2}\right) \eta - \frac{(1-\eta)\ln(1-\eta)}{16} \quad (0 \le \eta \le 1).$$

The accuracy of this approximation is shown in columns 4 and 5 of Table III.

#### C. Intermediate densities

How can we model  $\varepsilon_c(r_s, \eta)$  for fixed  $\eta$ ? Ideally, we would like a function that reproduces the behaviors of Eqs. (24) and (28) and interpolates accurately between these limits. However, for practical reasons, we will content ourselves with a function that approaches  $\tilde{\alpha}_2(\eta)$  for small  $r_s$ , behaves like  $\tilde{\beta}_2(\eta)/r_s$  for large  $r_s$ , and changes monotonically between these.

Although we could use robust interpolation,<sup>88</sup> the hypergeometric function<sup>89</sup>

$$f(r) = \alpha F\left(1, \frac{3}{2}, \gamma, \frac{2\alpha(1-\gamma)}{\beta}r\right)$$
(34)

$$\sim \begin{cases} \alpha + O(r) & r \ll 1\\ \beta/r + O(r^{-3/2}) & r \gg 1 \end{cases}$$
 (35)

possesses all of the desired features and we therefore adopt the approximate kernel

$$\tilde{\varepsilon}_c(r_s, \eta) = \tilde{\alpha}_2(\eta) F\left(1, \frac{3}{2}, \tilde{\gamma}(\eta), \frac{2\tilde{\alpha}_2(\eta)(1 - \tilde{\gamma}(\eta))}{\tilde{\beta}_2(\eta)} r_s\right). \tag{36}$$

Table III shows that this kernel models the energies in Table II well if we choose

$$\tilde{\gamma}(n) = \frac{19}{16} \left( \frac{4n-3}{2n-1} \right) \quad (1 \le n < \infty) \tag{37}$$

or, equivalently,

$$\tilde{\gamma}(\eta) = \frac{19}{16} \left( \frac{4 - 3\sqrt{1 - \eta}}{2 - \sqrt{1 - \eta}} \right) \quad (0 \le \eta \le 1).$$
 (38)

This reproduces the Table II data to within a relative error of 1% and absolute error of  $0.20 \text{ m}E_h$ .

# D. The LDA1, GLDA1, and gLDA1 functionals

We can now consider three approximate kernels for correlation in 1D systems. The first is the LDA1 kernel, which is defined by

$$\varepsilon_c^{\text{LDA1}}(r_s) = \alpha F\left(1, \frac{3}{2}, \tilde{\gamma}, \frac{2\alpha(1-\tilde{\gamma})}{\beta}r_s\right),$$
 (39)

where  $\alpha = -\pi^2/360$ ,  $\beta = 3/4 - (\ln 2\pi)/2$ , and  $\tilde{\gamma} = 19/8$ . This underpins the traditional LDA and, by construction, it is exact (within fitting errors) for the 1D HEG or, equivalently, for  $\infty$ -ringium. It is independent of the hole curvature  $\eta$ .

The second is the GLDA1 kernel, which is defined by

$$\varepsilon_c^{\text{GLDA1}}(r_s, \eta) = \tilde{\alpha}_2(\eta) F\left(1, \frac{3}{2}, \tilde{\gamma}(\eta), \frac{2\tilde{\alpha}_2(\eta)(1 - \tilde{\gamma}(\eta))}{\tilde{\beta}_2(\eta)} r_s\right),\tag{40}$$

where  $\tilde{\alpha}_2(\eta)$ ,  $\tilde{\beta}_2(\eta)$ , and  $\tilde{\gamma}(\eta)$  are defined in Eqs. (27), (33), and (38). Unfortunately, because of a lack of information about high-curvature UEGs, these three equations are not defined for  $\eta > 1$  and thus, at this time, the GLDA1 is defined only for systems where  $\eta \leq 1$  at all points. Completing the definition of the GLDA1 is an important topic for future work.

The third is the gLDA1 kernel, a partially corrected LDA, which is defined by

$$\varepsilon_c^{\text{gLDA1}}(r_s, \eta) = \begin{cases} \varepsilon_c^{\text{GLDA1}}(r_s, \eta) & \eta < 1\\ \varepsilon_c^{\text{LDA1}}(r_s) & \eta \ge 1 \end{cases}$$
 (41)

When applied to UEGs with  $\eta \ge 1$ , the gLDA1 and LDA1 kernels are, of course, identical. However, when applied to gases with  $\eta < 1$ , they behave differently and, by construction, the gLDA1 kernel is exact (within fitting errors) for any n-ringium.

The gLDA1 kernel defaults back to the LDA1 kernel at points where  $\eta > 1$  but we cannot predict *a priori* whether this will cause it to underestimate or to overestimate the GLDA. If the monotonic increase in the magnitude of the kernel between  $\eta = 0$  and  $\eta = 1$  continues beyond  $\eta = 1$ , then the gLDA1 kernel (which assumes that the kernel is constant beyond  $\eta = 1$ ) will underestimate the GLDA1 kernel and consequently underestimate the magnitude of the correlation energies in systems with high-curvature regions.

Until the true kernel for  $\eta > 1$  is known, we cannot draw any firm conclusions about the accuracy of GLDA1. However, it is reasonable to conjecture that even the imperfect gLDA1 may be superior to LDA1 for density functional theory (DFT) calculations on inhomogeneous 1D systems and we now explore this through some preliminary validation studies.

### V. VALIDATION

Having defined the gLDA1 functional, we turn now to its validation. The functional is exact by construction for any n-ringium, so we require systems with non-uniform densities. There is no standard set of 1D models with accurately known correlation energies, so it was necessary to devise our own and we chose the ground states of n electrons in a 1D box of length  $L=\pi$  (a family that we call the n-boxiums) and of n electrons in a 1D harmonic well with force constant k=1 (a family that we call the n-hookiums). Whereas the HOMO–LUMO gap in n-boxium increases roughly linearly with n,

TABLE IV. Basis set truncation errors  $\Delta E_M$  for the energies in two-electron systems.

|           | MP2           | MP3                 | FCI           |
|-----------|---------------|---------------------|---------------|
| 2-ringium | $O(M^{-3})$   | $O(M^{-3})$         | $O(M^{-3})$   |
| 2-boxium  | $O(M^{-3})$   | $O(M^{-3})$         | $O(M^{-3})$   |
| 2-hookium | $O(M^{-3/2})$ | $O(M^{-3/2}/\ln M)$ | $O(M^{-3/2})$ |

that in *n*-hookium slowly decreases. We therefore regard them as "large-gap" and "small-gap" systems, respectively.

Given that the fitting errors (Table III) in the gLDA1 functional can be of the order of  $0.1 \text{ m}E_h$ , we aimed to obtain the energies of the n-boxium and n-hookium to within  $0.1 \text{ m}E_h$  of their complete basis set (CBS) limits. This is easily achieved for the HF, LDA1, and gLDA1 energies, because they converge exponentially  $^{90-92}$  with the size M of the one-electron basis, but it is less straightforward for traditional post-HF energies.

We analysed the convergence behavior (see Appendix B) of Møller-Plesset perturbation (MP2 and MP3) and full configuration interaction (FCI) energies in 2-ringium, 2-boxium, and 2-hookium and our results are summarised in Table IV. From these, we devised appropriate extrapolation formulae and applied these to the energies obtained with our largest basis sets. We also used QMC calculations<sup>85</sup> to assess the accuracy of our extrapolated FCI energies.

Tables V and VI show the energies obtained for 5-boxium and 5-hookium, respectively, as the basis set size increases from M = 5 to M = 30. The three components of the third-order energy are separated because of their different convergence behaviors. Table VII summarizes our best estimates of the HOMO–LUMO gaps, together with the HF, LDA1, gLDA1, MP2, MP3, and FCI energies, for n-boxium and n-hookium with n = 2, 3, 4, or 5.

# A. n-boxium

The 2-boxium system (albeit with length L=3) was studied in a basis of delta functions by Salter *et al.*<sup>93</sup> and, using 804 609 basis functions, they obtained energies within roughly 10  $\mu E_{\rm h}$  of the exact values. The present work is the first study of n-boxium with  $n \ge 3$ .

The orbitals of 1-boxium are

$$\phi_m(x) = \begin{cases} \sqrt{2/\pi} \cos mx & m \text{ is odd} \\ \sqrt{2/\pi} \sin mx & m \text{ is even} \end{cases}$$
  $(m = 1, 2, 3, ...)$  (42)

and the first M of these form a convenient orthonormal basis for expanding the HF orbitals in n-boxium. The antisymmetrized two-electron integrals  $\langle \mu \sigma || \nu \lambda \rangle$  can be found in terms of the Sine and Cosine Integral functions<sup>89</sup> and we have used these to perform SCF calculations with up to M=30 basis functions. Our convergence criterion was  $\max |[\mathbf{P},\mathbf{F}]| < 10^{-5}$ .

TABLE V. Basis set convergence of  $E_{\rm HF}$  (in  $E_{\rm h}$ ) and  $E_c$  energies (in m $E_{\rm h}$ ) in 5-boxium.

|    |             |                        |                         |                 | 1        | E <sup>(3)</sup> component | s        |                     |
|----|-------------|------------------------|-------------------------|-----------------|----------|----------------------------|----------|---------------------|
| M  | $E_{ m HF}$ | $-E_c^{\mathrm{LDA1}}$ | $-E_c^{\mathrm{gLDA1}}$ | $-E_c^{ m MP2}$ | $O^4V^2$ | $O^3V^3$                   | $O^2V^4$ | $-E_c^{\text{FCI}}$ |
| 5  | 40.990 531  | 126.517                | 68.858                  | 0               | 0        | 0                          | 0        | 0                   |
| 6  | 40.855 806  | 126.499                | 63.929                  | 0               | 0        | 0                          | 0        | 0                   |
| 7  | 40.807 556  | 126.486                | 63.678                  | 16.020          | 1.129    | -3.512                     | 0.804    | 17.840              |
| 8  | 40.798 066  | 126.482                | 63.314                  | 28.753          | 1.728    | -6.379                     | 1.683    | 32.157              |
| 9  | 40.793 901  | 126.478                | 63.208                  | 38.619          | 2.085    | -8.570                     | 2.475    | 43.234              |
| 10 | 40.793 518  | 126.478                | 63.207                  | 45.564          | 2.276    | -10.046                    | 3.104    | 50.937              |
| 11 | 40.792 520  | 126.477                | 63.067                  | 49.972          | 2.371    | -10.871                    | 3.577    | 55.640              |
| 12 | 40.792 237  | 126.477                | 63.024                  | 53.055          | 2.426    | -11.394                    | 3.932    | 58.850              |
| 13 | 40.792 064  | 126.477                | 63.026                  | 55.272          | 2.458    | -11.729                    | 4.203    | 61.102              |
| 14 | 40.792 057  | 126.477                | 63.031                  | 56.876          | 2.478    | -11.939                    | 4.411    | 62.682              |
| 15 | 40.792 051  | 126.477                | 63.019                  | 58.059          | 2.491    | -12.071                    | 4.572    | 63.815              |
| 16 | 40.792 051  | 126.477                | 63.017                  | 58.946          | 2.499    | -12.157                    | 4.699    | 64.646              |
| 17 | 40.792 049  | 126.477                | 63.026                  | 59.624          | 2.505    | -12.214                    | 4.799    | 65.271              |
| 18 | 40.792 049  | 126.477                | 63.027                  | 60.151          | 2.509    | -12.254                    | 4.880    | 65.750              |
| 19 | 40.792 049  | 126.477                | 63.028                  | 60.568          | 2.512    | -12.282                    | 4.945    | 66.124              |
| 20 | 40.792 048  | 126.477                | 63.028                  | 60.901          | 2.514    | -12.302                    | 4.998    | 66.420              |
| 21 | 40.792 048  | 126.477                | 63.029                  | 61.170          | 2.515    | -12.317                    | 5.042    | 66.658              |
| 22 | 40.792 048  | 126.477                | 63.029                  | 61.391          | 2.516    | -12.328                    | 5.079    | 66.852              |
| 23 | 40.792 048  | 126.477                | 63.029                  | 61.574          | 2.517    | -12.337                    | 5.110    | 67.011              |
| 24 | 40.792 048  | 126.477                | 63.029                  | 61.726          | 2.517    | -12.343                    | 5.136    | 67.143              |
| 25 | 40.792 048  | 126.477                | 63.029                  | 61.854          | 2.518    | -12.349                    | 5.158    | 67.253              |
| 26 | 40.792 048  | 126.477                | 63.029                  | 61.963          | 2.518    | -12.353                    | 5.176    | 67.346              |
| 27 | 40.792 048  | 126.477                | 63.029                  | 62.055          | 2.519    | -12.356                    | 5.193    | 67.425              |
| 28 | 40.792 048  | 126.477                | 63.029                  | 62.134          | 2.519    | -12.358                    | 5.207    | 67.493              |
| 29 | 40.792 048  | 126.477                | 63.029                  | 62.203          | 2.519    | -12.360                    | 5.219    | 67.551              |
| 30 | 40.792 048  | 126.477                | 63.029                  | 62.262          | 2.519    | - 12.362                   | 5.230    | 67.601              |

TABLE VI. Basis set convergence of  $E_{\rm HF}$  (in  $E_{\rm h}$ ) and  $E_c$  energies (in m $E_{\rm h}$ ) in 5-hookium.

|    |             |                        |                         |                 | ì        |          |          |                    |
|----|-------------|------------------------|-------------------------|-----------------|----------|----------|----------|--------------------|
| M  | $E_{ m HF}$ | $-E_c^{\mathrm{LDA1}}$ | $-E_c^{\mathrm{gLDA1}}$ | $-E_c^{ m MP2}$ | $O^4V^2$ | $O^3V^3$ | $O^2V^4$ | $-E_c^{	ext{FCI}}$ |
| 5  | 19.649 014  | 116.419                | 75.381                  | 0               | 0        | 0        | 0        | 0                  |
| 6  | 19.353 767  | 115.709                | 60.013                  | 0               | 0        | 0        | 0        | 0                  |
| 7  | 19.180 033  | 114.892                | 64.207                  | 18.983          | 2.783    | -7.833   | 1.952    | 23.103             |
| 8  | 19.171 222  | 114.736                | 63.602                  | 27.047          | 3.466    | -11.252  | 2.972    | 33.352             |
| 9  | 19.167 260  | 114.619                | 63.990                  | 33.786          | 4.058    | -14.364  | 4.077    | 42.140             |
| 10 | 19.165 782  | 114.658                | 63.679                  | 37.870          | 4.298    | -16.063  | 4.812    | 47.219             |
| 11 | 19.165 244  | 114.680                | 63.512                  | 41.400          | 4.488    | -17.523  | 5.434    | 51.621             |
| 12 | 19.165 079  | 114.681                | 63.381                  | 44.276          | 4.633    | -18.697  | 5.973    | 55.159             |
| 13 | 19.164 701  | 114.684                | 63.163                  | 46.478          | 4.729    | -19.539  | 6.417    | 57.776             |
| 14 | 19.164 677  | 114.685                | 63.238                  | 48.459          | 4.813    | -20.301  | 6.807    | 60.137             |
| 15 | 19.164 499  | 114.687                | 63.059                  | 49.999          | 4.870    | -20.854  | 7.137    | 61.905             |
| 16 | 19.164 467  | 114.687                | 63.063                  | 51.368          | 4.919    | -21.340  | 7.428    | 63.459             |
| 17 | 19.164 400  | 114.687                | 62.993                  | 52.493          | 4.956    | -21.717  | 7.679    | 64.704             |
| 18 | 19.164 370  | 114.687                | 62.957                  | 53.476          | 4.987    | -22.036  | 7.901    | 65.768             |
| 19 | 19.164 342  | 114.687                | 62.940                  | 54.317          | 5.012    | -22.297  | 8.097    | 66.658             |
| 20 | 19.164 323  | 114.687                | 62.917                  | 55.049          | 5.032    | -22.515  | 8.271    | 67.417             |
| 21 | 19.164 309  | 114.688                | 62.899                  | 55.688          | 5.049    | -22.698  | 8.427    | 68.066             |
| 22 | 19.164 299  | 114.688                | 62.897                  | 56.250          | 5.063    | -22.851  | 8.567    | 68.623             |
| 23 | 19.164 291  | 114.688                | 62.885                  | 57.746          | 5.075    | -22.981  | 8.692    | 69.105             |
| 24 | 19.164 287  | 114.688                | 62.889                  | 57.187          | 5.085    | -23.091  | 8.806    | 69.525             |
| 25 | 19.164 283  | 114.688                | 62.885                  | 57.579          | 5.094    | -23.185  | 8.909    | 69.891             |
| 26 | 19.164 281  | 114.688                | 62.888                  | 57.931          | 5.101    | -23.266  | 9.003    | 70.214             |
| 27 | 19.164 279  | 114.688                | 62.897                  | 58.248          | 5.108    | -23.335  | 9.088    | 70.500             |
| 28 | 19.164 278  | 114.688                | 62.898                  | 58.534          | 5.113    | -23.396  | 9.167    | 70.754             |
| 29 | 19.164 278  | 114.688                | 62.903                  | 58.793          | 5.118    | -23.448  | 9.239    | 70.982             |
| 30 | 19.164 277  | 114.688                | 62.903                  | 59.029          | 5.123    | - 23.495 | 9.305    | 71.186             |

|                         |         | n-boxiu  | $n(L=\pi)$ |          | n-hookium ( $k = 1$ ) |         |          |          |  |
|-------------------------|---------|----------|------------|----------|-----------------------|---------|----------|----------|--|
|                         | n=2     | n = 3    | n = 4      | n = 5    | n=2                   | n = 3   | n = 4    | n = 5    |  |
| $E_{ m HF}$             | 3.48451 | 10.37969 | 22.42489   | 40.79205 | 2.74367               | 6.63671 | 12.12335 | 19.16428 |  |
| H-L gap                 | 4.01    | 5.28     | 6.47       | 7.61     | 1.75                  | 1.72    | 1.69     | 1.67     |  |
| $-E_c^{\mathrm{LDA1}}$  | 46.1    | 72.5     | 99.4       | 126.5    | 42.2                  | 65.9    | 90.1     | 114.7    |  |
| $-E_c^{\mathrm{gLDA1}}$ | 11.0    | 26.3     | 44.0       | 63.0     | 12.7                  | 28.0    | 44.9     | 62.9     |  |
| $-E_c^{\mathrm{MP2}}$   | 8.3     | 23.1     | 41.8       | 62.8     | 10.8                  | 26.0    | 43.7     | 63.0     |  |
| $-E_c^{MP3}$            | 9.5     | 25.6     | 45.4       | 67.3     | 12.7                  | 30.0    | 49.8     | 71.1     |  |
| $-E_c^{\text{FCI}}$     | 9.8     | 26.2     | 46.1       | 68.0     | 13.5                  | 31.8    | 52.4     | 74.3     |  |

TABLE VII.  $E_{HF}$  and HOMO–LUMO gap (in  $E_h$ ) and  $E_c$  (in m $E_h$ ) in n-boxium and n-hookium.

We first discuss 2-boxium. Choosing M = 8 yields the HF orbitals

$$\psi_1(x) = 0.994844 \,\phi_1(x) - 0.101256 \,\phi_3(x) - 0.005729 \,\phi_5(x)$$
$$-0.000044 \,\phi_7(x), \tag{43a}$$

$$\psi_2(x) = 0.999715 \,\phi_2(x) - 0.023850 \,\phi_4(x) + 0.000728 \,\phi_6(x)$$
$$-0.000176 \,\phi_8(x), \tag{43b}$$

and Fig. 1 reveals that the density  $\rho$  has maxima at  $x \approx \pm \pi/4$ , indicating that an electron is likely to be found in these regions. LDA1 interprets these maxima as the most strongly correlated regions in the well and, through Eqs. (2) and (39), predicts the correlation energy

$$E_c^{\text{LDA1}} = \int_{-\pi/2}^{\pi/2} \rho(x) \varepsilon_c^{\text{LDA1}}(r_s) \, dx = -46.1 \text{ m} E_{\text{h}}. \tag{44}$$

In contrast, because the hole curvature  $\eta$  is strongly peaked at the center and edges of the box and is small near the density maxima, gLDA1 identifies the *center* of the box as the most correlated region and Eqs. (23) and (41) predict the much smaller correlation energy

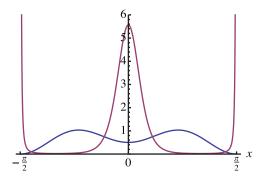
$$E_c^{\text{gLDA1}} = \int_{-\pi/2}^{\pi/2} \rho(x) \varepsilon_c^{\text{gLDA1}}(r_s, \eta) \, dx = -11.0 \text{ m} E_h. \quad (45)$$

LDA1 and gLDA1 offer very different qualitative and quantitative descriptions of 2-boxium, but both perturbation theory ( $E_c^{\rm MP2}=-8.33~{\rm m}E_{\rm h}$  and  $E_c^{\rm MP3}=-9.45~{\rm m}E_{\rm h}$ ) and near-exact calculations ( $E_c^{\rm FCI}=-9.82~{\rm m}E_{\rm h}$ ) support the gLDA1 picture.

We have also performed HF, LDA1, gLDA1, MP2, MP3, and FCI calculations on 3-, 4-, and 5-boxium and the density and curvature for 5-boxium are shown on the right of Fig. 1. Both functions oscillate much more rapidly but with much smaller amplitude than in 2-boxium, and it is easy to foresee that, as the number of electrons becomes large, both the density and the curvature will become increasingly uniform.

The convergence of the 5-boxium energies is shown in Table V and confirms the theoretical predictions of Table IV. The LDA1 energies, which depend only on the density  $\rho(x)$ , converge rapidly, changing by less than 1  $\mu E_h$  beyond M = 11. The HF and gLDA energies, which depend on the orbitals (rather than the density) converge more slowly, achieving 1  $\mu E_h$  convergence around M=20. Because the occupied orbitals converge more rapidly than the virtual ones,  $^{94}$  the  $O^4V^2$  component of MP3 converges almost as fast as HF, the  $O^3V^3$  component (which is negative) converges more slowly, and the  $O^2V^4$  component (which is positive) even more slowly. 95 Because of the resulting differential cancellation, 96,97 the total 3rd-order contribution initially becomes more negative, reaches a minimum at M = 13, and rises thereafter. The MP2 energy is the most slowly converging, and changes by 60  $\mu E_h$  between M=29 and M=30. It is interesting to note the almost perfectly linear growth of the third-order energies. Because the *n*-boxiums are large-gap systems, MP2 and MP3 work well, recovering more than 92% and 99% of the correlation energy in 5-boxium.

Our best estimates of the CBS limit HF and correlation energies are summarized in the left half of Table VII. Because LDA1 operates without the benefit of curvature information, it gravely overestimates the correlation energy, by between a



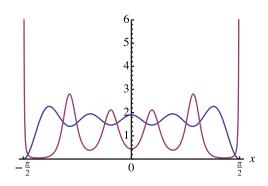


FIG. 1. HF density  $\rho(x)$  (blue) and curvature  $\eta(x)$  (red) in 2-boxium (left) and 5-boxium (right)

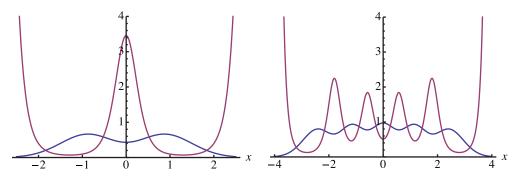


FIG. 2. HF density  $\rho(x)$  (blue) and curvature  $\eta(x)$  (red) in 2-hookium (left) and 5-hookium (right).

factor of five (for 2-boxium) and a factor of just under two (for 5-boxium). In contrast, gLDA1 is within 12% of the true correlation energy for all *n*-boxiums studied.

#### B. n-hookium

Electrons in 3D harmonic wells have been studied by numerous authors  $^{98-112}$  but this is the first investigation of n electrons in a 1D harmonic well. The orbitals of 1-hookium are

$$\phi_m(x) = \frac{H_{m-1}(x) \exp(-x^2/2)}{\sqrt{\pi^{1/2} 2^{m-1} (m-1)!}} \quad (m = 1, 2, 3, ...) \quad (46)$$

and the first M of these form a convenient orthonormal basis for expanding the HF orbitals in n-hookium. The antisymmetrized two-electron integrals  $\langle \mu \sigma || \nu \lambda \rangle$  can be found in closed form (e.g., see Appendix B) and we have used these to perform SCF calculations with up to M=30 basis functions. Our convergence criterion was max  $||\mathbf{P}, \mathbf{F}|| < 10^{-5}$ .

We first discuss 2-hookium. Choosing M = 8 yields the HF orbitals

$$\psi_1(x) = 0.989962 \,\phi_1(x) + 0.139577 \,\phi_3(x) - 0.021464 \,\phi_5(x) + 0.005740 \,\phi_7(x), \tag{47a}$$

$$\psi_2(x) = 0.997679 \,\phi_2(x) + 0.067586 \,\phi_4(x) - 0.008026 \,\phi_6(x) + 0.001894 \,\phi_8(x), \tag{47b}$$

and Fig. 2 reveals that the density and curvature are softened versions of those in 2-boxium. As before, LDA1 interprets the density maxima as regions of strong correlation, predicting

$$E_c^{\text{LDA1}} = \int_{-\infty}^{\infty} \rho(x) \varepsilon_c^{\text{LDA1}}(r_s) \, dx = -42.2 \,\text{m} E_{\text{h}}, \qquad (48)$$

whereas gLDA1 finds that almost all of the correlation comes from a narrow region near the middle of the well and predicts

$$E_c^{\text{gLDA1}} = \int_{-\infty}^{\infty} \rho(x) \varepsilon_c^{\text{gLDA1}}(r_s, \eta) \, dx = -12.7 \,\text{m}E_h. \quad (49)$$

As for 2-boxium, LDA1 and gLDA1 offer entirely different pictures of electron correlation but both perturbation theory ( $E_c^{\text{MP2}} = -10.78 \text{ m} E_h$  and  $E_c^{\text{MP3}} = -12.66 \text{ m} E_h$ ) and near-exact calculations ( $E_c^{\text{FCI}} = -13.55 \text{ m} E_h$ ) agree that gLDA1 is closer to the truth.

We have also performed HF, LDA1, gLDA1, MP2, MP3, and FCI calculations on 3-, 4-, and 5-hookium and the density and curvature for 5-hookium are shown on the right of Fig. 2. As before, both functions oscillate more rapidly but with smaller amplitude than in 2-hookium and it is clear that, as the number of electrons becomes large, both functions will become increasingly uniform. 113

The convergence of the 5-hookium energies is shown in Table VI. As in 5-boxium, the LDA1 energies converge most rapidly, followed by the HF and gLDA1 energies, then the  $O^4V^2$ ,  $O^3V^3$ , and  $O^2V^4$  components of the third-order energy, and finally the MP2 energy. However, each of these energies converges significantly more slowly than its 5-boxium analog. All of these observations are consistent with the theoretical predictions of Table IV. Because the n-hookiums are smaller gap systems, MP2 and MP3 are less successful than for n-boxium, recovering roughly 85% and 96% of the correlation energy in 5-hookium.

Our best estimates of the CBS limit HF and correlation energies are summarized in the right half of Table VII. As before, whereas LDA1 seriously overestimates the correlation energies, gLDA1 is within 15% of the true correlation energy in all cases. It is interesting to note that  $|E_c(n\text{-hookium})| > |E_c(n\text{-boxium})|$  in all cases but that, whereas gLDA1 correctly predicts this trend, LDA1 reverses it.

# VI. CONCLUDING REMARKS

The traditional LDA is exact by construction for an infinite uniform electron gas with Seitz radius  $r_s$ . However, it significantly overestimates the magnitudes of correlation energies in finite gases, such as those created when n electrons are placed on the surface of a  $\mathcal{D}$ -dimensional sphere. This overestimation, which becomes even more pronounced in non-uniform gases, led us to seek generalizations of the LDA which are exact for both infinite and finite gases and, in the present work, we have proposed that the local hole curvature  $\eta$  provides the necessary information to achieve this goal. For present purposes, we have extracted  $\eta$  from the HF wave function: this requires only the occupied HF orbitals.

By fitting accurately calculated correlation energies for systems of n electrons on a ring, we have constructed the generalized local density approximation for one-dimensional systems and this has yielded a correlation kernel  $\varepsilon_c(r_s, \eta)$  and a corresponding functional which we call GLDA1. To this

point, we have considered only gases in which  $\eta \leq 1$  and, consequently, the GLDA1 functional is not yet defined for gases with higher curvature. However, if we assume that the correlation kernel becomes flat, i.e., that  $\varepsilon_c(r_s, \eta) = \varepsilon_c(r_s, 1)$  when  $\eta > 1$ , we obtain an approximation to GLDA1 which we call gLDA1.

We have applied the traditional LDA1 functional and the curvature-corrected gLDA1 functional to electrons trapped in 1D boxes or in 1D harmonic wells and, by comparing the predicted correlation energies with those obtained from MP2, MP3, and full CI calculations, we have discovered that gLDA1 is much more accurate than LDA1 in all cases.

We have also observed that gLDA1 tends to underestimate the magnitudes of correlation energies. This suggests that the true GLDA1 kernel continues to rise, i.e., that  $|\varepsilon_c(r_s, \eta)| > |\varepsilon_c(r_s, 1)|$  but systematic examination of high-curvature  $(\eta > 1)$  gases is required to test this. Such exploration is an important topic for future research and will allow the GLDA1 functional to be completely defined and tested.

Although we have presented relatively few calculations here, and much more investigation is warranted, these preliminary results suggest that "curvature-corrected density functional theory (CC-DFT)" may offer an efficient pathway to improvements over existing functionals.

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# APPENDIX A: CALCULATION OF MATRIX ELEMENTS

The matrix elements in Eq. (22) are expressed as expectation values of operators over the HF wave function. Therefore, because  $\Phi^2$  and its reduced density matrices, e.g.,

$$\rho_2(\theta_1, \theta_2) = \rho(\mathbf{r})^2 \left( 1 - \left[ \frac{\sin n(\theta_1 - \theta_2)/2}{n \sin(\theta_1 - \theta_2)/2} \right]^2 \right)$$
(A1)

have *finite* Fourier expansions, integrals of their products with Fourier expansions of operators reduce to *finite* sums.

The Fourier expansions of bounded operators on a unit ring are straightforward, e.g.,

$$r_{12}^2 = 2 - 2\cos(\theta_1 - \theta_2),\tag{A2}$$

$$\nabla r_{12} \cdot \nabla r_{12} = 1 + \cos(\theta_1 - \theta_2), \tag{A3}$$

$$r_{12} = -\frac{4}{\pi} \sum_{a=-\infty}^{\infty} \frac{e^{ia(\theta_1 - \theta_2)}}{4a^2 - 1},$$
 (A4)

$$\nabla r_{12} \cdot \nabla r_{13} = \left[ \frac{4i}{\pi} \sum_{a = -\infty}^{\infty} \frac{ae^{ia(\theta_1 - \theta_2)}}{4a^2 - 1} \right] \left[ \frac{4i}{\pi} \sum_{b = -\infty}^{\infty} \frac{be^{ib(\theta_1 - \theta_3)}}{4b^2 - 1} \right]. \tag{A5}$$

The expansions of unbounded operators, e.g.,

$$r_{12}^{-1} = -\frac{2}{\pi} \sum_{a=-\infty}^{\infty} \left( \sum_{p=1}^{|a|} \frac{1}{2p-1} \right) e^{ia(\theta_1 - \theta_2)}$$
 (A6)

are delicate (they converge only in the Cesàro mean<sup>89</sup>) but this is sufficient for our purposes because we require only a few of the low-order Fourier coefficients. The expansions of "cyclic" operators (e.g.,  $r_{12}r_{23}r_{31}$ ) are not simple products and must be derived separately.

Thus, for example, to find the  $\langle \Phi | r_{12} r_{13} | \Phi \rangle$  integral in 3-ringium, the Fourier expansion

$$\Phi^{2} = \frac{[2 - 2\cos(\theta_{1} - \theta_{2})][2 - 2\cos(\theta_{1} - \theta_{3})][2 - 2\cos(\theta_{2} - \theta_{3})]}{3!(2\pi)^{3}}$$
(A7)

is combined with Eq. (A4) to yield

$$\langle \Phi | r_{12} r_{13} | \Phi \rangle$$

$$= \frac{16}{\pi^2} \sum_{a=-2}^{2} \sum_{b=-2}^{2} \iiint \frac{e^{ia(\theta_1 - \theta_2)}}{4a^2 - 1} \frac{e^{ib(\theta_1 - \theta_3)}}{4b^2 - 1} \Phi^2 d\theta_1 d\theta_2 d\theta_3$$

$$= \frac{16384}{675\pi^2}.$$
(A8)

# APPENDIX B: EXTRAPOLATION OF PERTURBATION ENERGIES

It is common these days to estimate the CBS limit of post-HF correlation energies by extrapolation. <sup>114</sup> Pioneering work by Schwartz, <sup>115</sup> Hill, <sup>116</sup> and Kutzelnigg and Morgan <sup>117</sup> showed that, for atoms in 3D, the second-order energy contributions from basis functions with angular momentum  $\ell$  converge asymptotically as  $(\ell+1/2)^{-4}$ .

While generating the data in Sec. V, we found that the MP2 and MP3 energies converge so slowly (Tables V and VI) that the CBS limit is not reached (within our 0.1 m $E_h$  target accuracy), even with our largest (M = 30) basis set. This is particularly noticeable for n-hookium. We therefore needed to develop and apply appropriate extrapolation procedures.

To this end, we analyzed the convergence of the secondorder energy

$$E^{(2)} = \sum_{r=3}^{\infty} \sum_{s=r+1}^{\infty} \frac{\langle 12||rs\rangle^2}{\epsilon_1 + \epsilon_2 - \epsilon_r - \epsilon_s}$$
(B1)

obtained from the non-interacting orbitals and orbital energies in 2-boxium and 2-hookium. In n-hookium, the double-bar integral is

$$\langle 12||rs\rangle = \frac{(-1)^{(r-s+1)/2}\sqrt{2}}{\pi} \frac{\Gamma((r+s-2)/2)}{\sqrt{\Gamma(r)\Gamma(s)}},$$
 (B2)

if r + s is odd but it vanishes if r + s is even. The orbital energies are given by  $\varepsilon_k = k - 1/2$ . By substituting these expressions into (B1) and making use of Stirling's approximation, <sup>89</sup>

one can show that the error introduced by truncating the basis after M functions is

$$\Delta E_M^{(2)} = \sum_{r=3}^M \sum_{s=r+1}^M \frac{\langle 12 | | rs \rangle^2}{\epsilon_1 + \epsilon_2 - \epsilon_r - \epsilon_s} - E^{(2)}$$

$$\sim \frac{1}{3(\pi M)^{3/2}} + O(M^{-2}). \tag{B3}$$

The closed-form expression for the  $\langle 12||rs\rangle$  integral in nboxium is cumbersome but a similar analysis reveals that the analogous truncation error is  $O(M^{-3})$ . The truncation errors in the third-order energy can be found in the same way and all of our results are summarized in Table IV.

The MP2, MP3, and FCI energies obtained with our largest basis sets conform to these analytical predictions and allowed us to extrapolate reliably to the CBS energies given in Table VII. The good agreement between our extrapolated FCI energies and OMC energies further increases our confidence in these results.

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